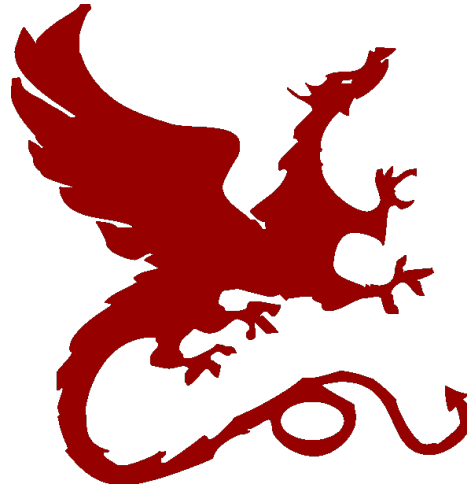


# Algorithms for NLP



## Parsing V

Taylor Berg-Kirkpatrick – CMU

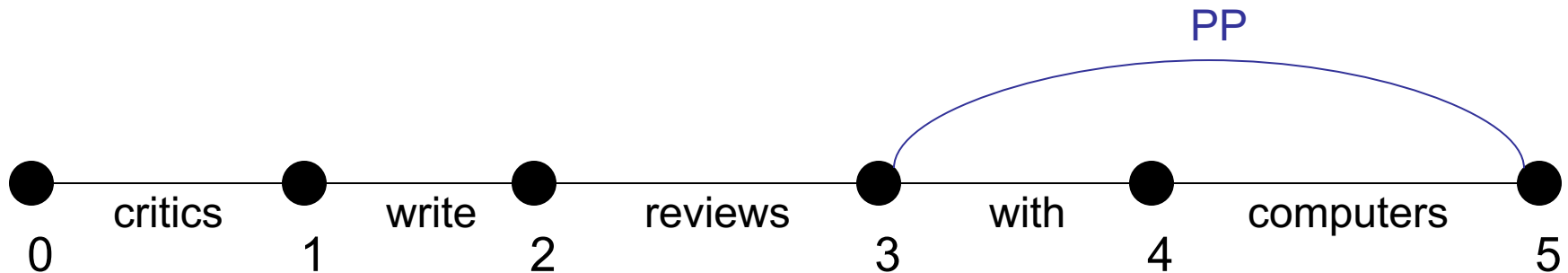
Slides: Dan Klein – UC Berkeley

# Agenda-Based Parsing



# Agenda-Based Parsing

- Agenda-based parsing is like graph search (but over a hypergraph)
- Concepts:
  - Numbering: we number fenceposts between words
  - “Edges” or items: spans with labels, e.g. PP[3,5], represent the **sets** of trees over those words rooted at that label (cf. search states)
  - A chart: records edges we’ve expanded (cf. closed set)
  - An agenda: a queue which holds edges (cf. a fringe or open set)





# Word Items

---

- Building an item for the first time is called discovery. Items go into the agenda on discovery.
- To initialize, we discover all word items (with score 1.0).

AGENDA

---

critics[0,1], write[1,2], reviews[2,3], with[3,4], computers[4,5]

CHART [EMPTY]

---





# Unary Projection

- When we pop a word item, the lexicon tells us the tag item successors (and scores) which go on the agenda

critics[0,1]	write[1,2]	reviews[2,3]	with[3,4]	computers[4,5]
NNS[0,1]	VBP[1,2]	NNS[2,3]	IN[3,4]	NNS[4,5]

---



critics      write      reviews      with      computers



# Item Successors

- When we pop items off of the agenda:

- Graph successors: unary projections ( $NNS \rightarrow \text{critics}$ ,  $NP \rightarrow NNS$ )

$Y[i,j]$  with  $X \rightarrow Y$  forms  $X[i,j]$

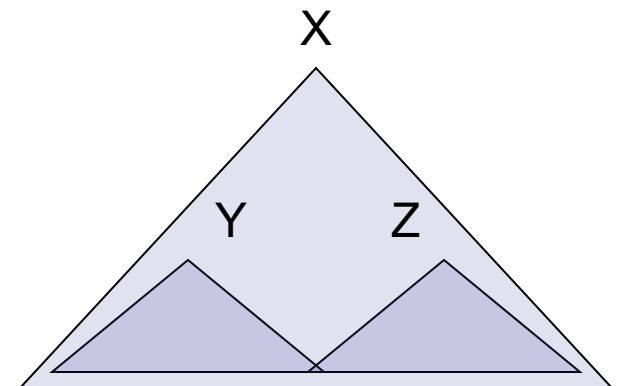
- Hypergraph successors: combine with items already in our chart

$Y[i,j]$  and  $Z[j,k]$  with  $X \rightarrow Y Z$  form  $X[i,k]$

- Enqueue / promote resulting items (if not in chart already)
- Record backtraces as appropriate
- Stick the popped edge in the chart (closed set)

- Queries a chart must support:

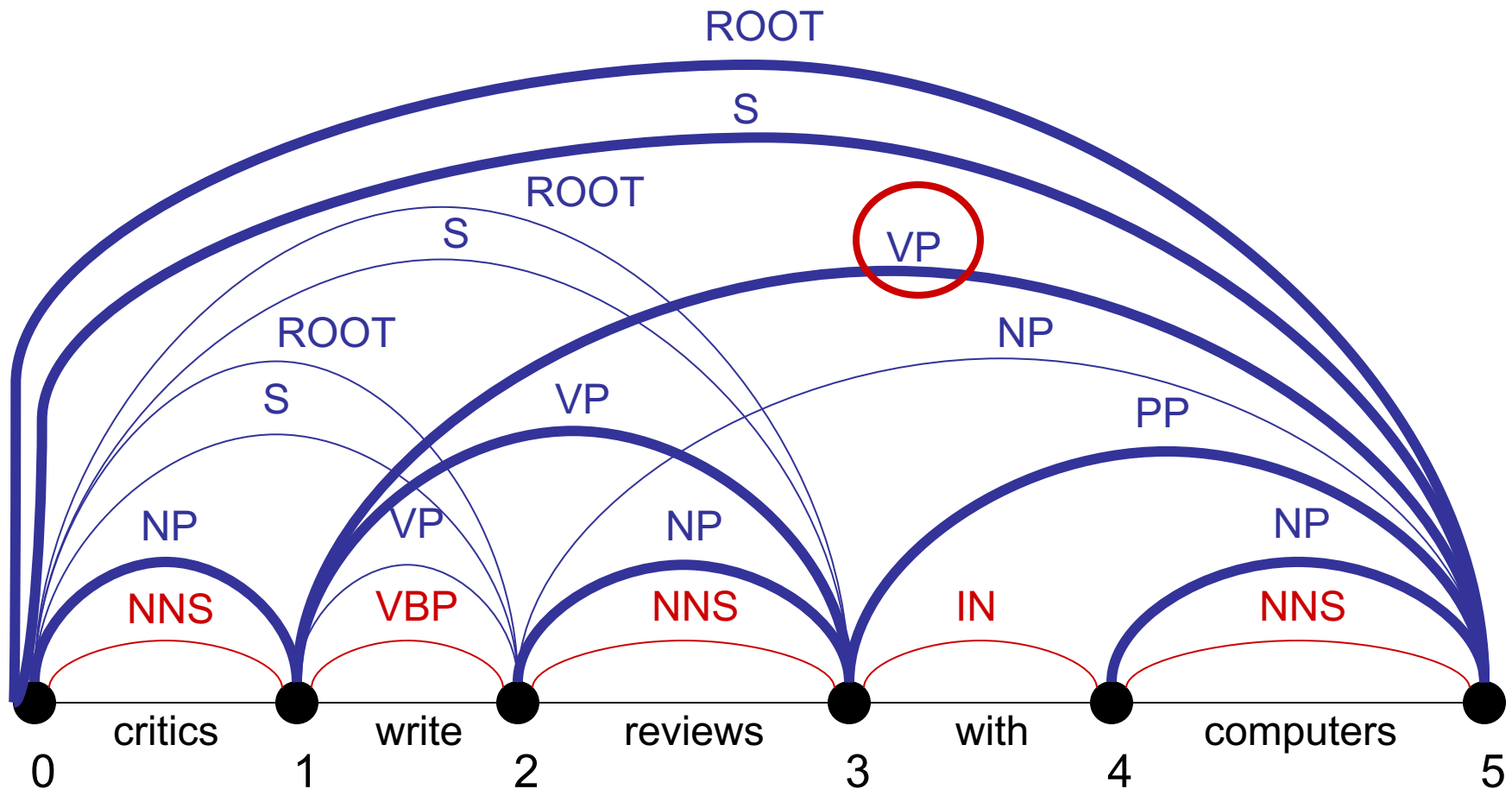
- Is edge  $X[i,j]$  in the chart? (What score?)
- What edges with label  $Y$  end at position  $j$ ?
- What edges with label  $Z$  start at position  $i$ ?





# An Example

NNS[0,1] VBP[1,2] NNS[2,3] IN[3,4] NNS[3,4] NP[0,1] VP[1,2] NP[2,3] NP[4,5] S[0,2]  
VP[1,3] PP[3,5] ROOT[0,2] S[0,3] VP[1,5] NP[2,5] ROOT[0,3] S[0,5] ROOT[0,5]





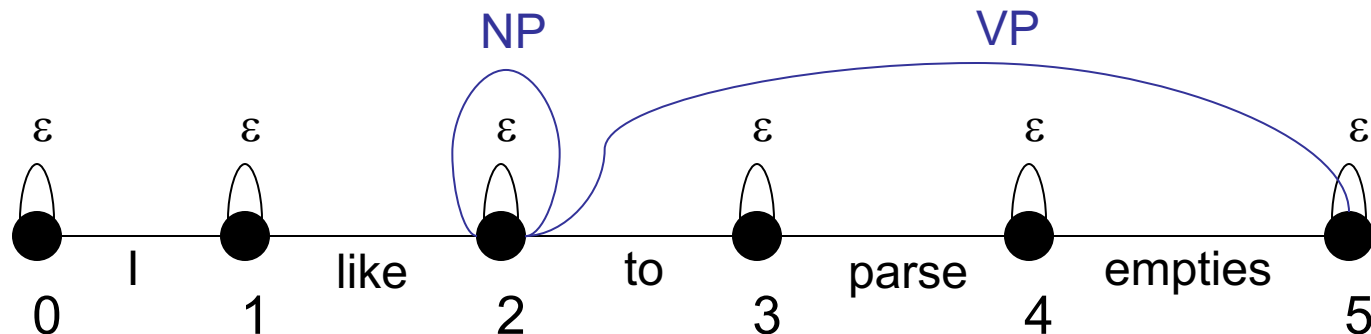
# Empty Elements

- Sometimes we want to posit nodes in a parse tree that don't contain any pronounced words:

I want you to parse this sentence

I want [ ] to parse this sentence

- These are easy to add to a agenda-based parser!
  - For each position  $i$ , add the “word” edge  $\varepsilon[i,i]$
  - Add rules like  $NP \rightarrow \varepsilon$  to the grammar
  - That's it!

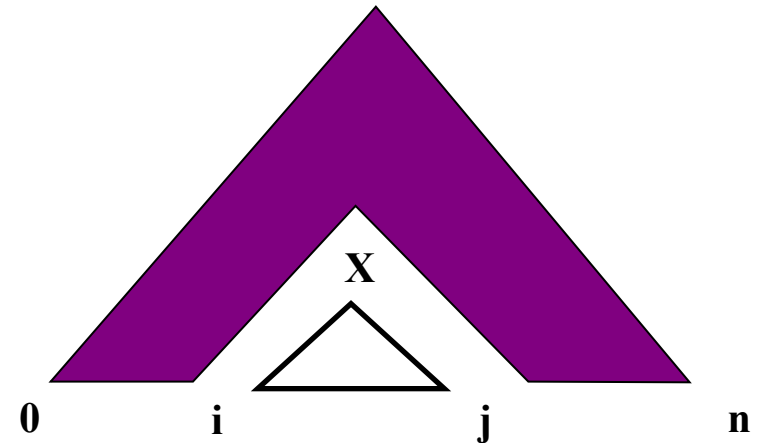






# UCS / A\*

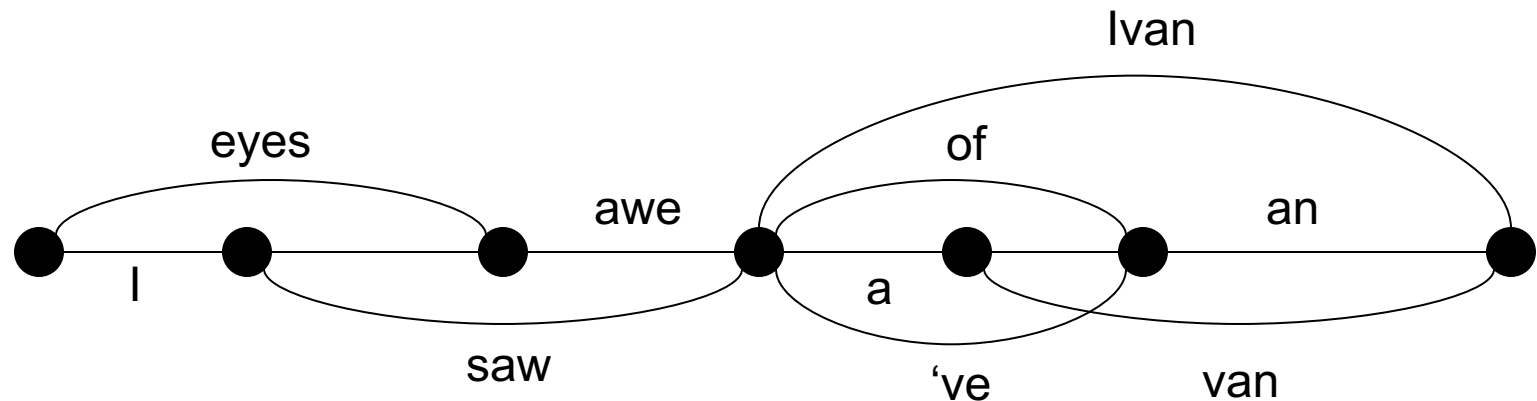
- With weighted edges, order matters
  - Must expand optimal parse from bottom up (subparses first)
  - CKY does this by processing smaller spans before larger ones
  - UCS pops items off the agenda in order of decreasing Viterbi score
  - A\* search also well defined
- You can also speed up the search without sacrificing optimality
  - Can select which items to process first
  - Can do with any “figure of merit” [Charniak 98]
  - If your figure-of-merit is a valid A\* heuristic, no loss of optimality [Klein and Manning 03]





# (Speech) Lattices

- There was nothing magical about words spanning exactly one position.
- When working with speech, we generally don't know how many words there are, or where they break.
- We can represent the possibilities as a lattice and parse these just as easily.

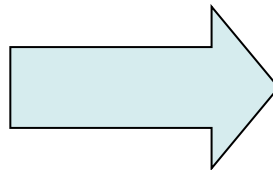
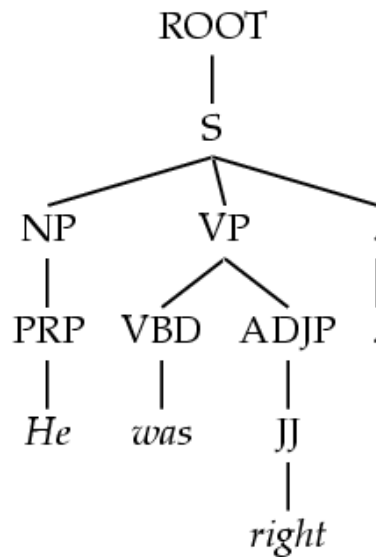


# Learning PCFGs



# Treebank PCFGs [Charniak 96]

- Use PCFGs for broad coverage parsing
- Can take a grammar right off the trees (doesn't work well):



ROOT → S 1

S → NP VP . 1

NP → PRP 1

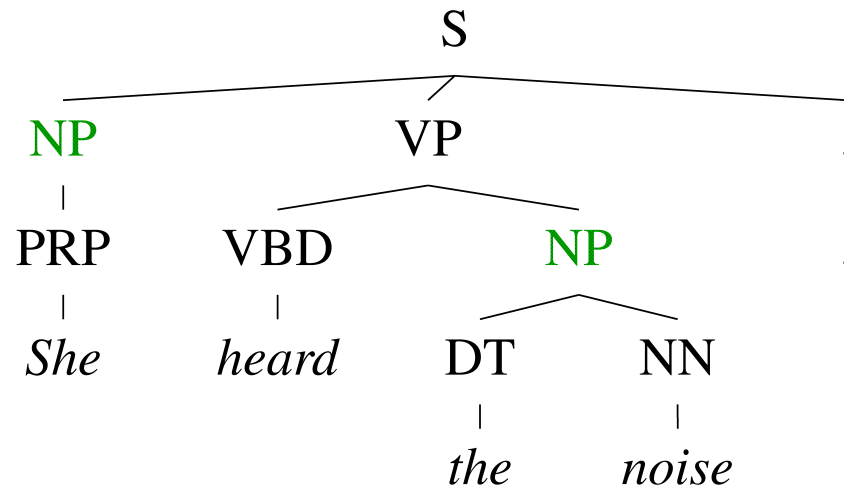
VP → VBD ADJP 1

.....

<i>Model</i>	<i>F1</i>
Baseline	72.0



# Conditional Independence?



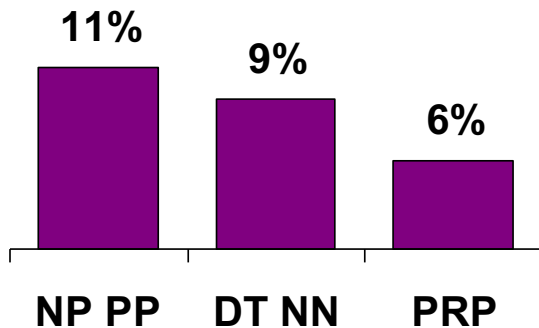
- Not every NP expansion can fill every NP slot
  - A grammar with symbols like “NP” won’t be context-free
  - Statistically, conditional independence too strong



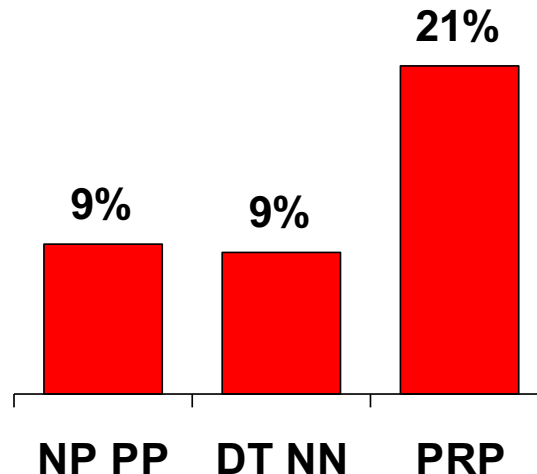
# Non-Independence

- Independence assumptions are often too strong.

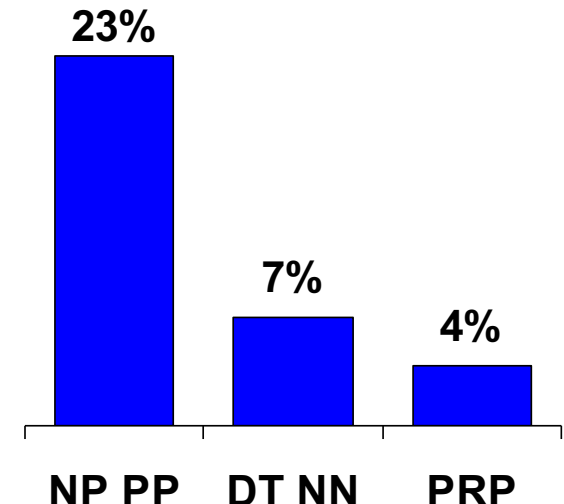
All NPs



NPs under S



NPs under VP



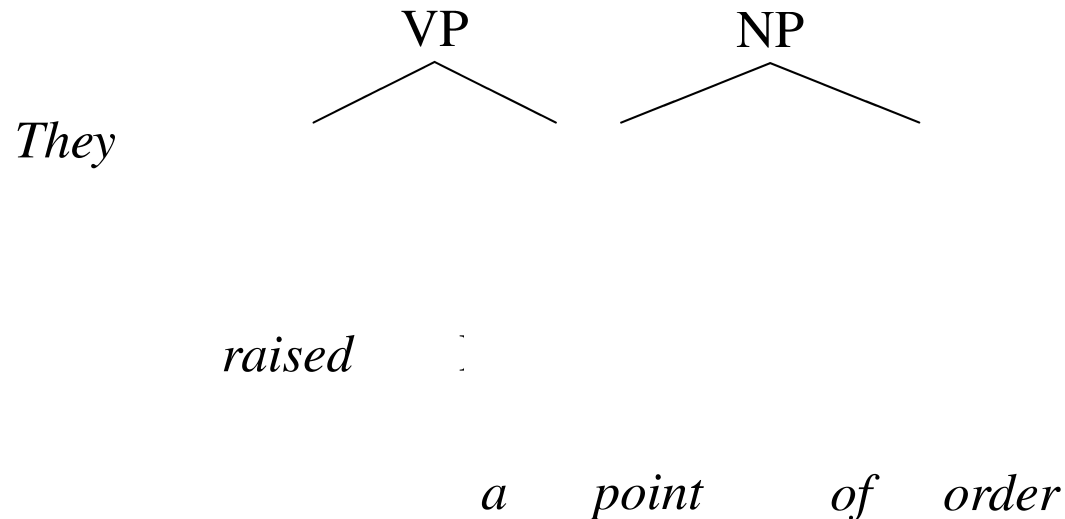
- Example: the expansion of an NP is highly dependent on the parent of the NP (i.e., subjects vs. objects).
- Also: the subject and object expansions are correlated!



# Grammar Refinement

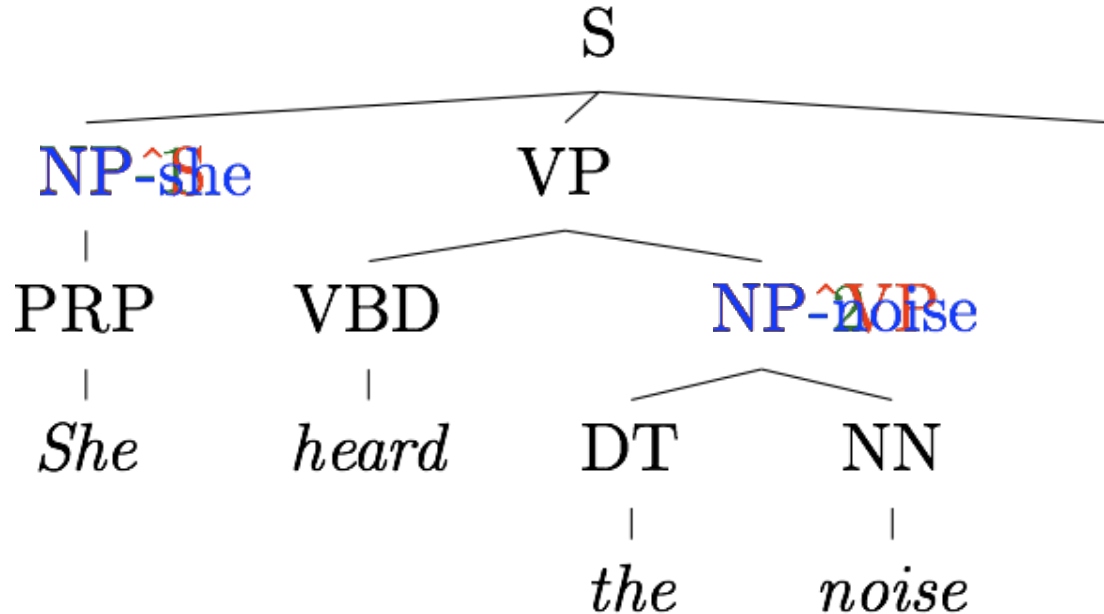
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- Example: PP attachment





# Grammar Refinement



- Structure Annotation [Johnson '98, Klein&Manning '03]
- Lexicalization [Collins '99, Charniak '00]
- Latent Variables [Matsuzaki et al. 05, Petrov et al. '06]

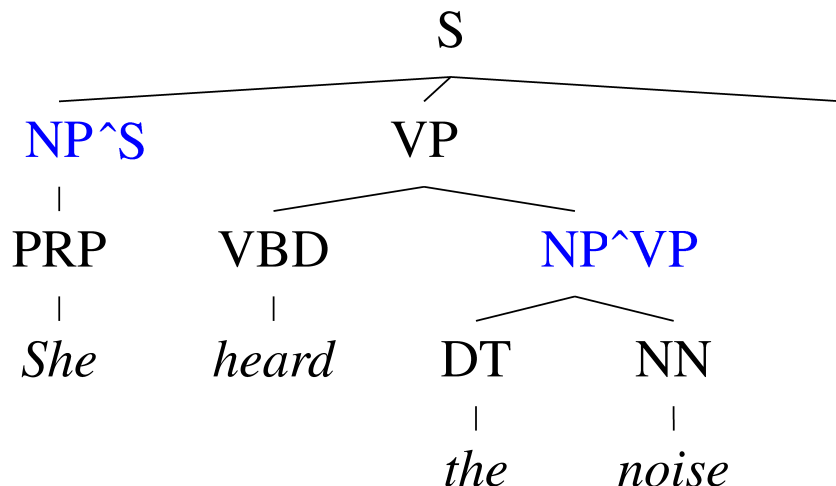


# Structural Annotation



# The Game of Designing a Grammar

---



- Annotation refines base treebank symbols to improve statistical fit of the grammar
  - Structural annotation



# Typical Experimental Setup

---

- Corpus: Penn Treebank, WSJ



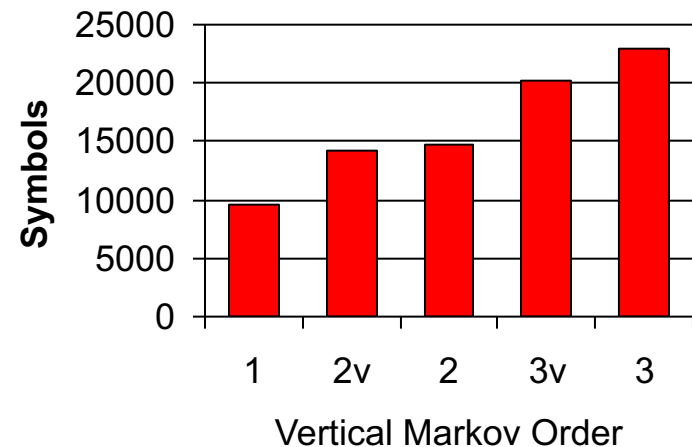
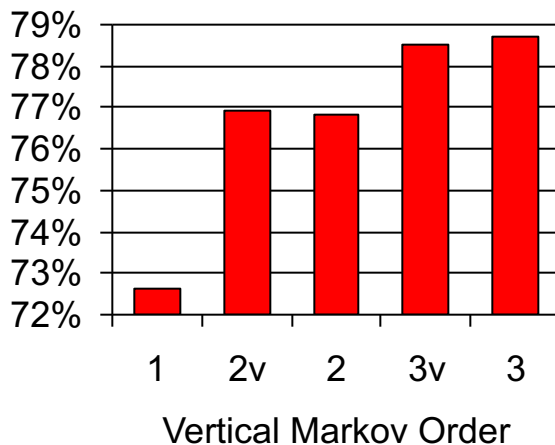
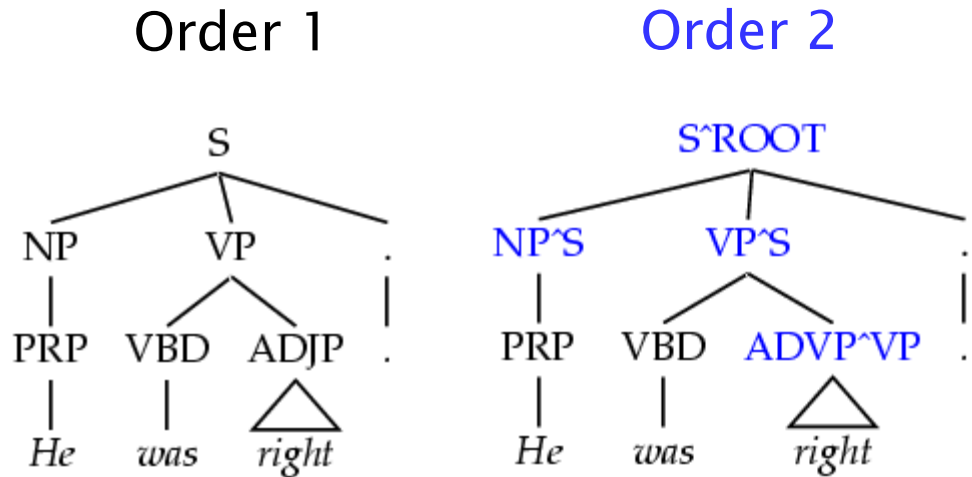
Training:	sections	02-21
Development:	section	22 (here, first 20 files)
Test:	section	23

- Accuracy – F1: harmonic mean of per-node labeled precision and recall.
- Here: also size – number of symbols in grammar.



# Vertical Markovization

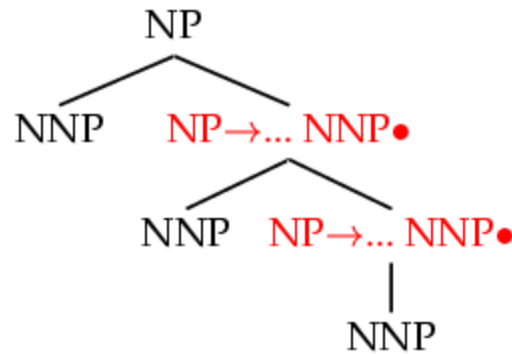
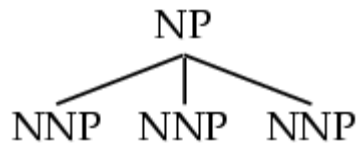
- Vertical Markov order: rewrites depend on past  $k$  ancestor nodes.  
(cf. parent annotation)



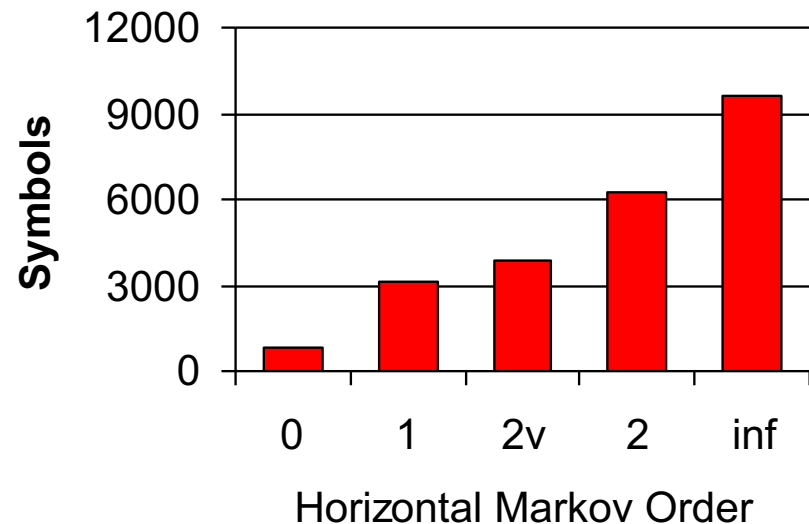
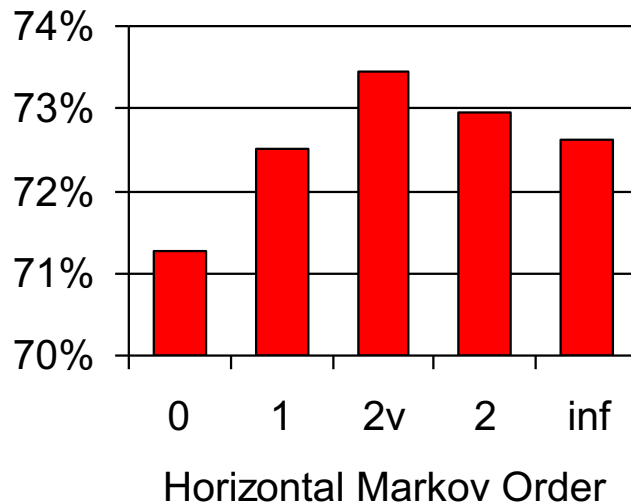
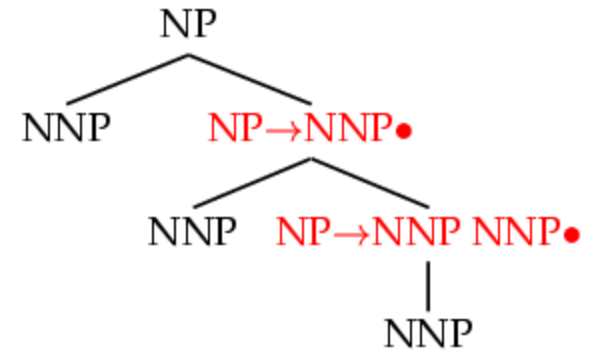


# Horizontal Markovization

Order 1



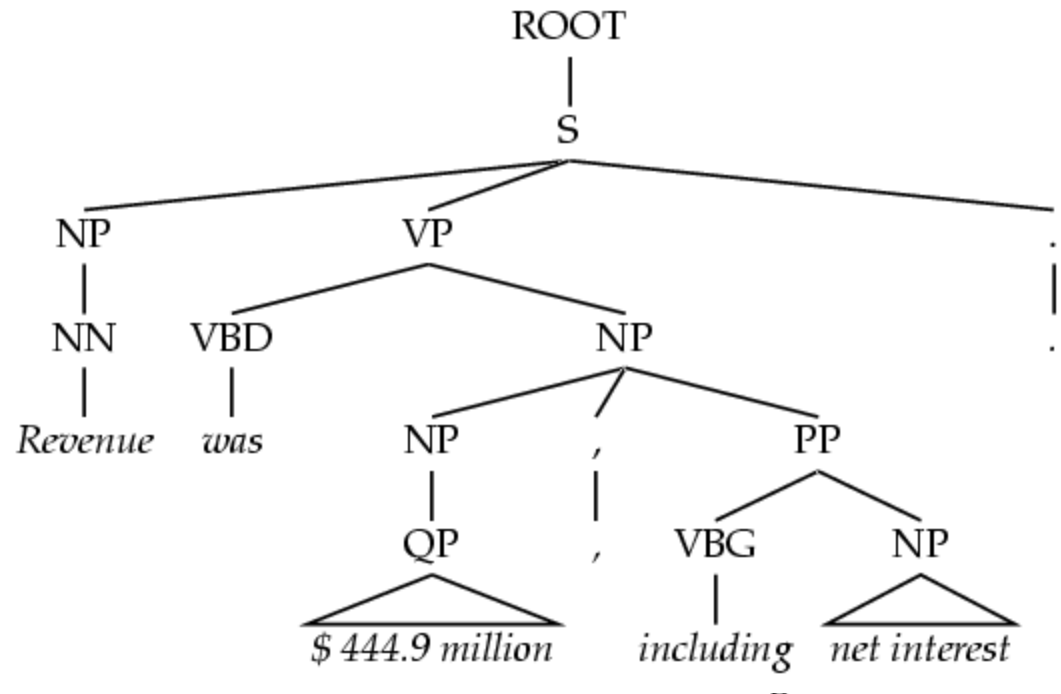
Order ∞





# Unary Splits

- Problem: unary rewrites used to transmute categories so a high-probability rule can be used.
- Solution: Mark unary rewrite sites with -U

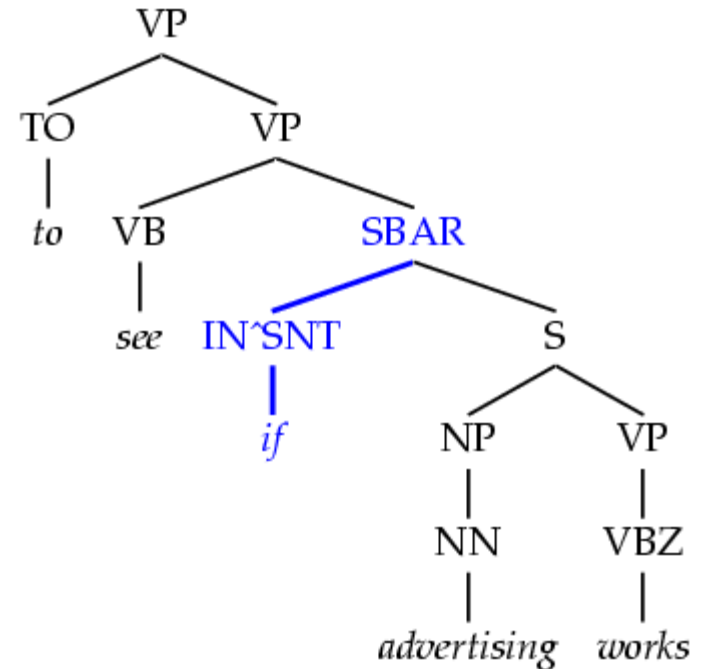


Annotation	F1	Size
Base	77.8	7.5K
UNARY	78.3	8.0K



# Tag Splits

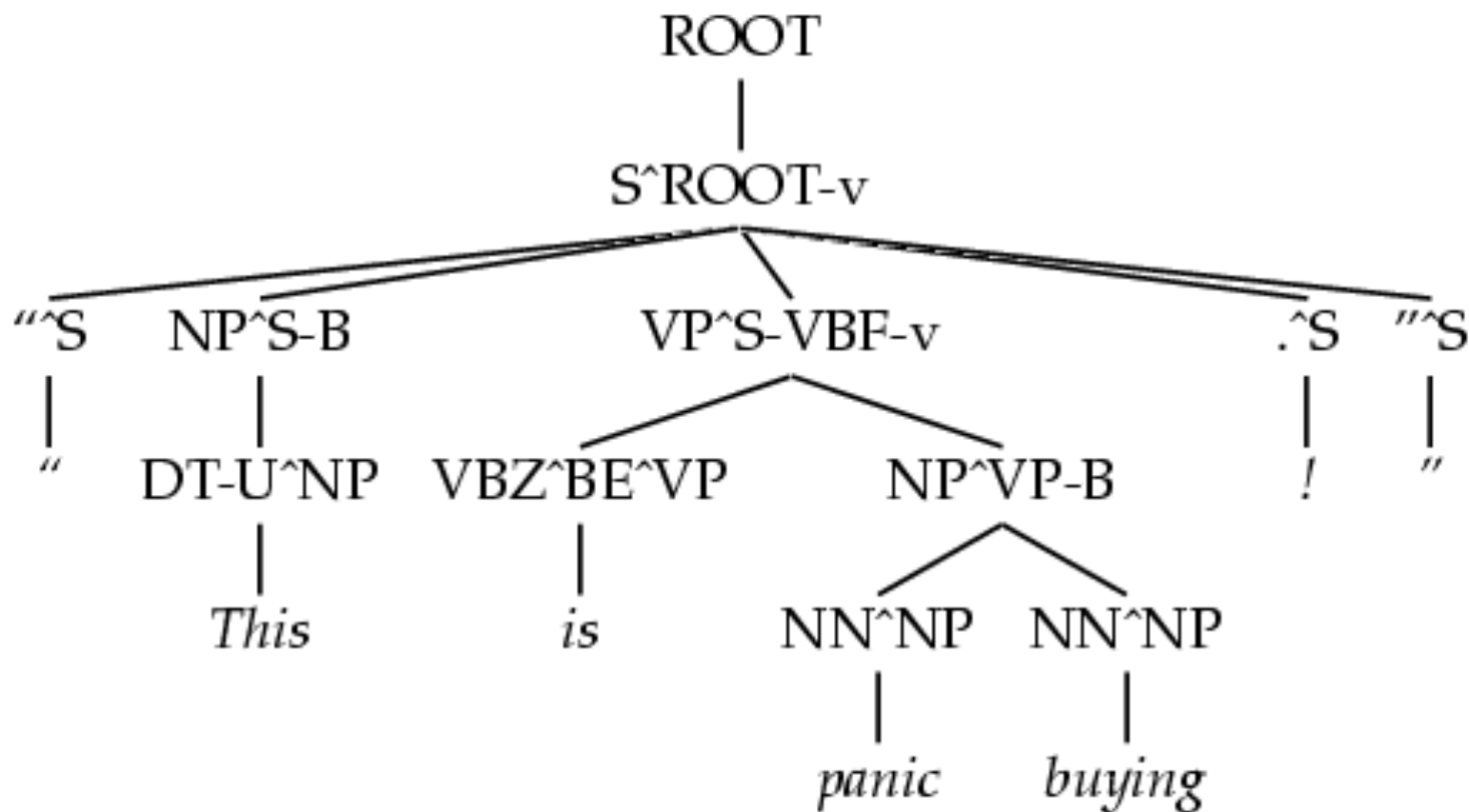
- Problem: Treebank tags are too coarse.
- Example: Sentential, PP, and other prepositions are all marked IN.
- Partial Solution:
  - Subdivide the IN tag.



Annotation	F1	Size
Previous	78.3	8.0K
SPLIT-IN	80.3	8.1K



# A Fully Annotated (Unlex) Tree







# Some Test Set Results

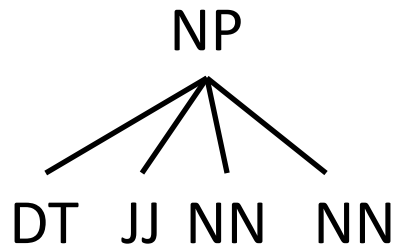
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Parser	LP	LR	F1	CB	0 CB
Magerman 95	84.9	84.6	<b>84.7</b>	1.26	56.6
Collins 96	86.3	85.8	<b>86.0</b>	1.14	59.9
<b>Unlexicalized</b>	<b>86.9</b>	<b>85.7</b>	<b>86.3</b>	<b>1.10</b>	<b>60.3</b>
Charniak 97	87.4	87.5	<b>87.4</b>	1.00	62.1
Collins 99	88.7	88.6	<b>88.6</b>	0.90	67.1

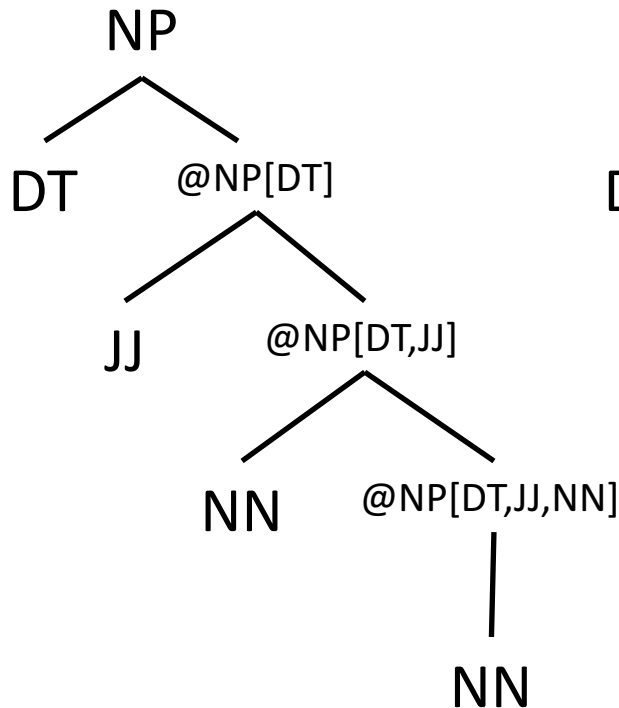
- Beats “first generation” lexicalized parsers.
- Lots of room to improve – more complex models next.



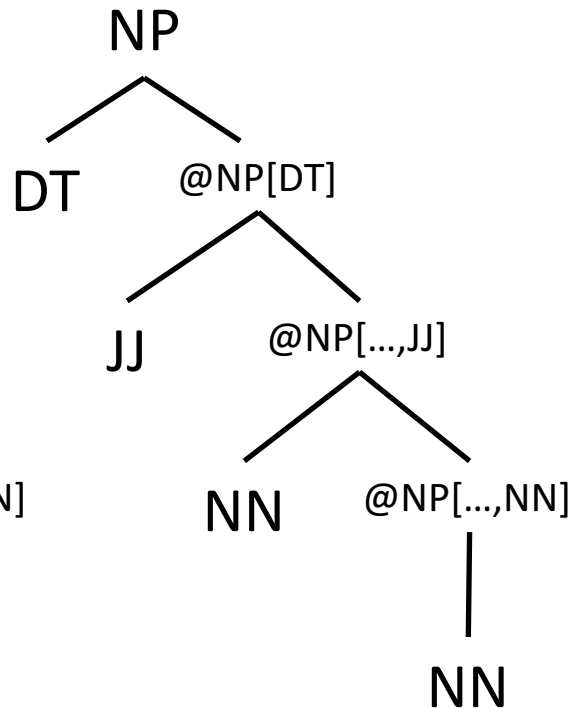
# Binarization / Markovization



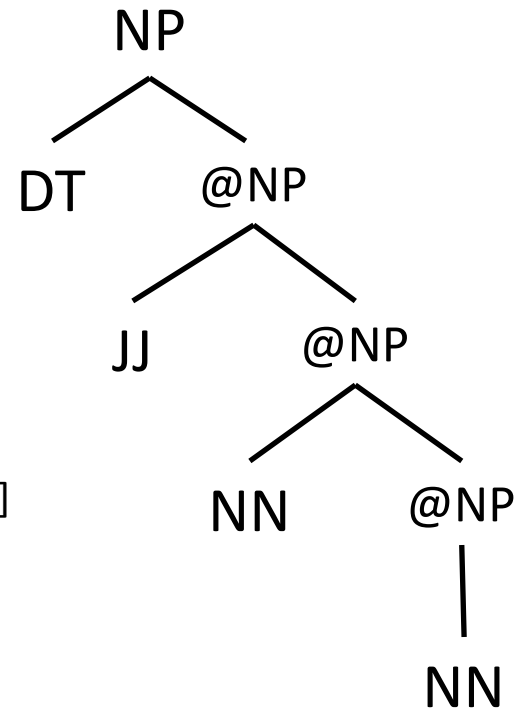
$v=1, h=\infty$



$v=1, h=1$

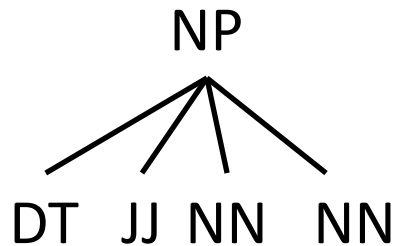


$v=1, h=0$

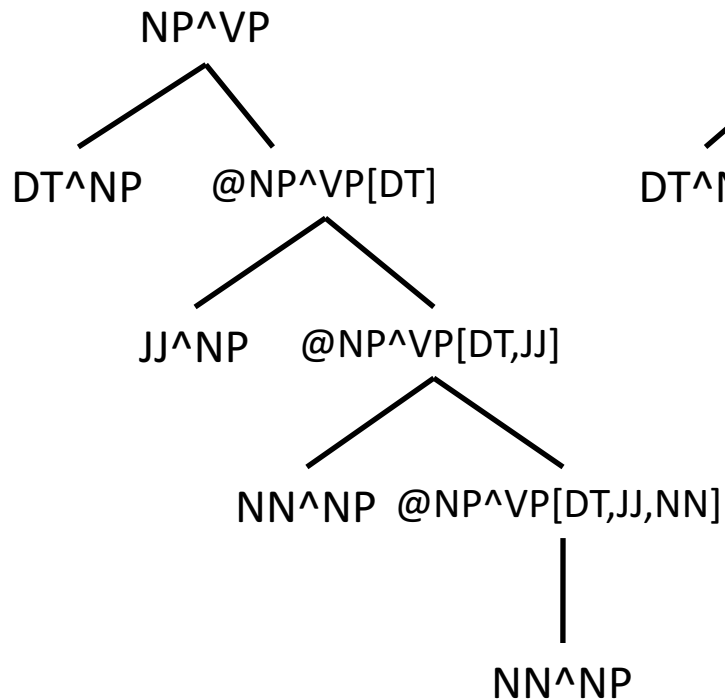




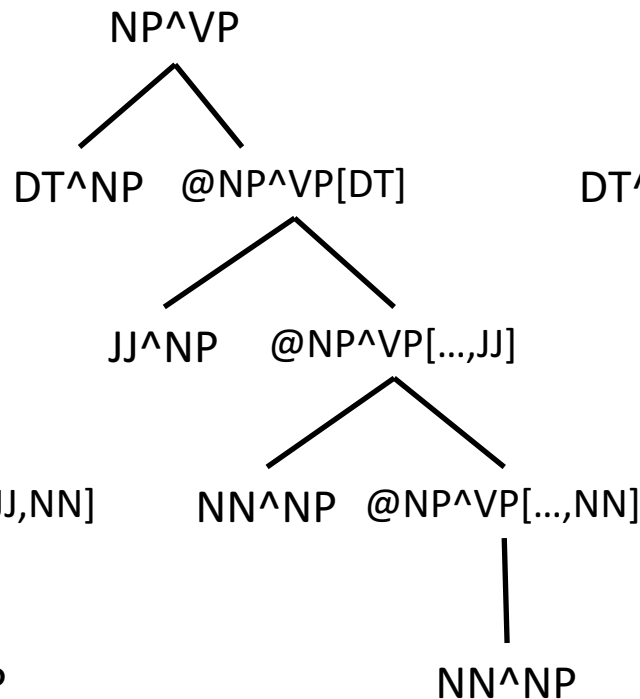
# Binarization / Markovization



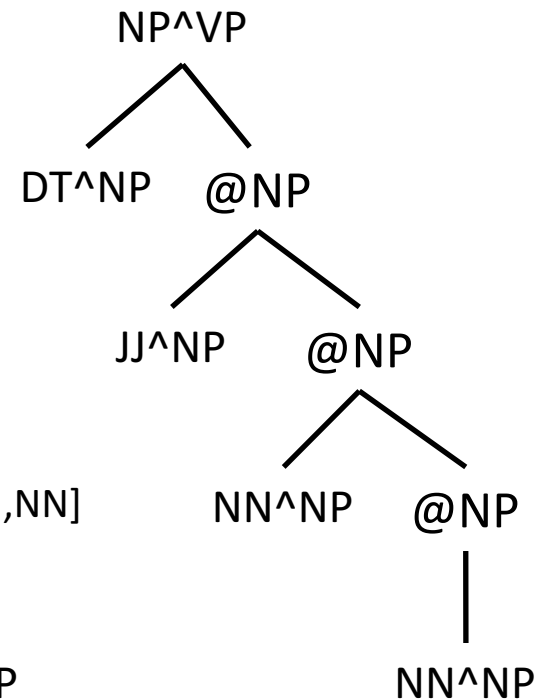
$v=2, h=\infty$



$v=2, h=1$



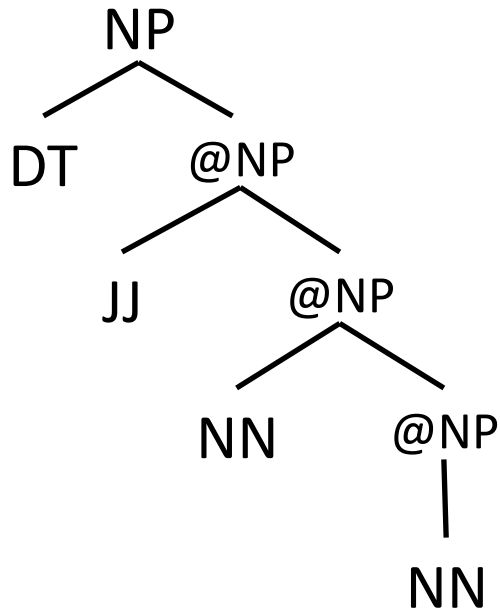
$v=2, h=0$





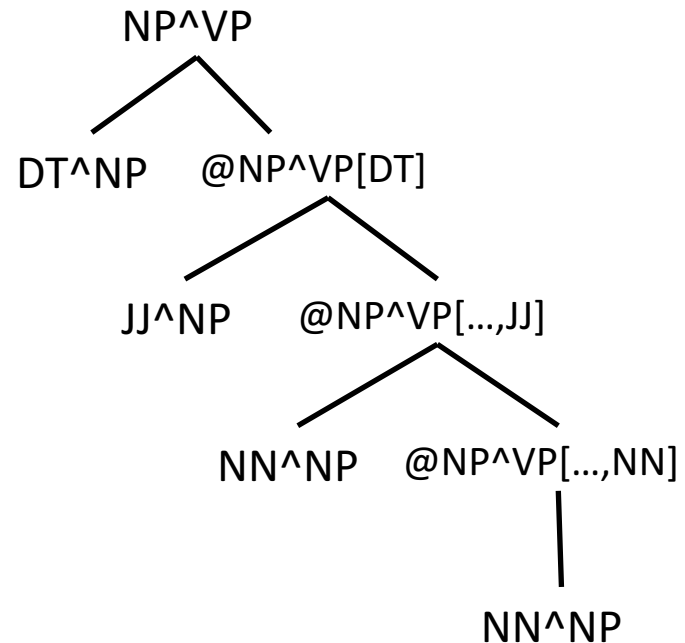
# Grammar Projections

## Coarse Grammar



$NP \rightarrow DT @NP$

## Fine Grammar



$NP^VP \rightarrow DT^NP @NP^VP[DT]$

*Note: X-Bar Grammars are projections with rules like  $XP \rightarrow Y @X$  or  $XP \rightarrow @X Y$  or  $@X \rightarrow X$*



# Grammar Projections

---

## Coarse Symbols

NP

@NP

DT

## Fine Symbols

NP^VP

NP^S

@NP^VP[DT]

@NP^S[DT]

@NP^VP[...JJ]

@NP^S[...JJ]

DT^NP

# Efficient Parsing for Structural Annotation


$$P(X|i, j, S) < \textit{threshold}$$

coarse: ... QP NP VP ...

fine: X X X [ ] [ ] [ ] [ ] [ ] [ ] [ ] [ ] [ ] [ ] [ ] [ ] [ ]

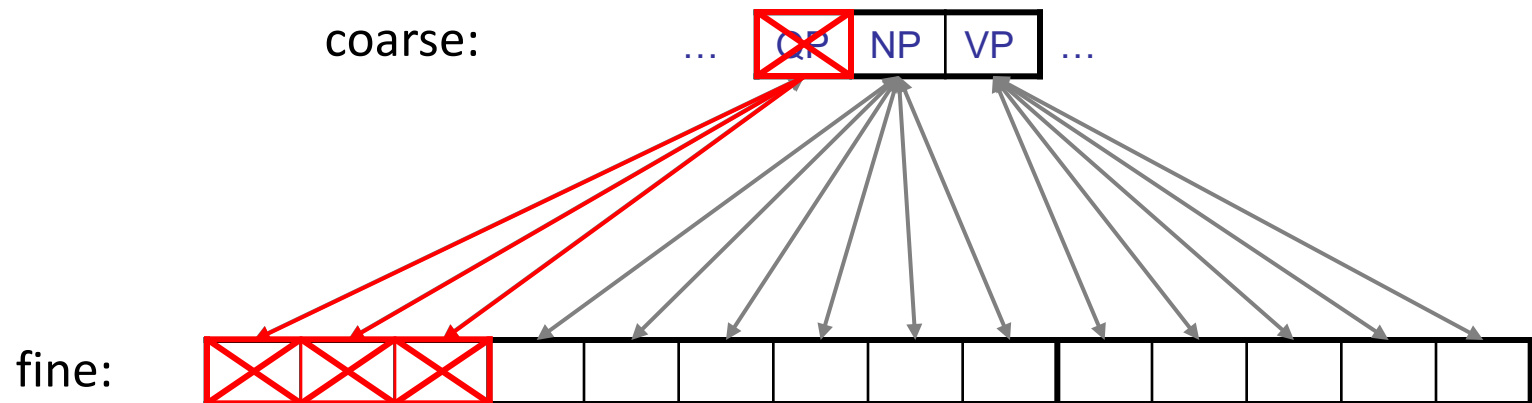


# Coarse-to-Fine Pruning

For each coarse chart item  $X[i,j]$ , compute posterior probability:

$$\frac{\alpha(X, i, j) \cdot \beta(X, i, j)}{\alpha(\text{root}, 0, n)} < \textit{threshold}$$

E.g. consider the span 5 to 12:







# Computing Marginals

---

$$\alpha(X, i, j) = \sum_{X \rightarrow YZ} \sum_{k \in (i, j)} P(X \rightarrow YZ) \alpha(Y, i, k) \alpha(Z, k, j)$$



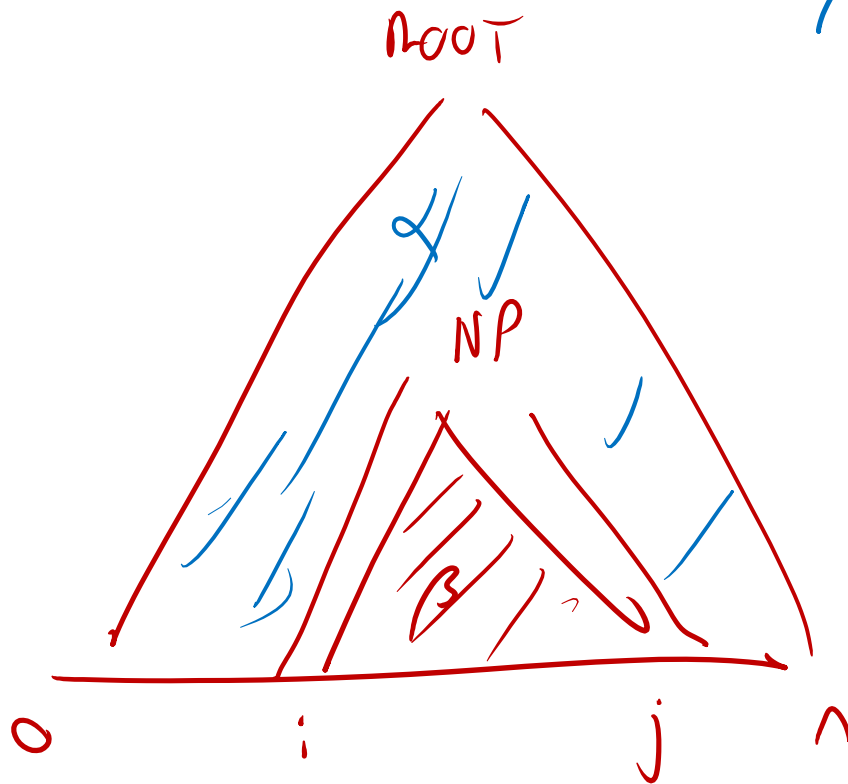
# Computing Marginals

---

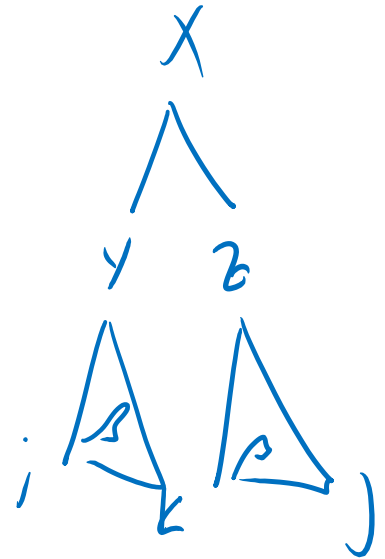
$$\begin{aligned}\beta(X, i, j) = & \sum_{Y \rightarrow ZX} \sum_{k \in [0, i)} P(Y \rightarrow ZX) \beta(Y, k, j) \alpha(B, k, i) \\ & + \sum_{Y \rightarrow XZ} \sum_{k \in (j, n]} P(Y \rightarrow XZ) \beta(Y, i, k) \alpha(Z, j, k)\end{aligned}$$



# Computing (Max-)Marginals

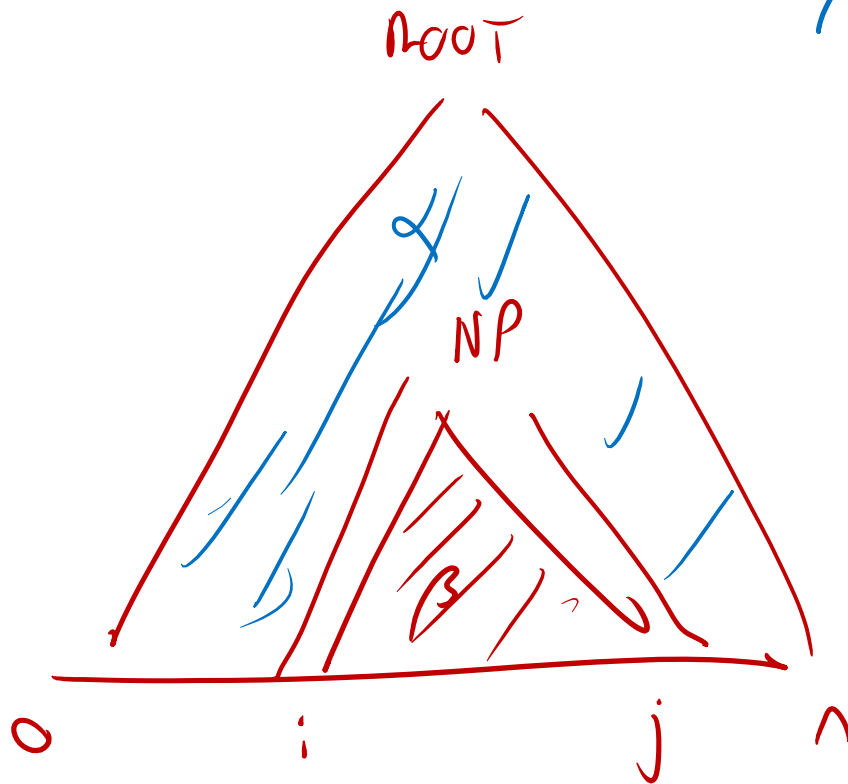


$$\beta(x, i, j) = \sum_{y, z} \sum_k P(yz|x) \cdot \beta(y, i, k) \cdot \beta(z, k, j)$$

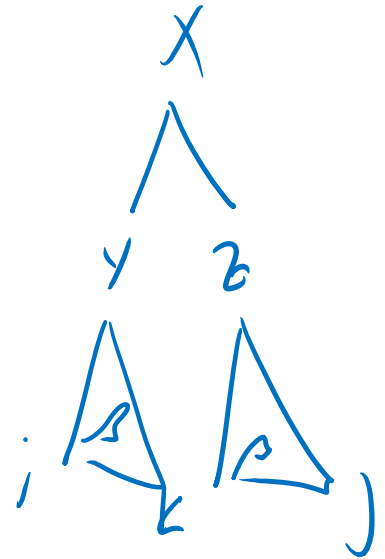




# Computing (Max-)Marginals

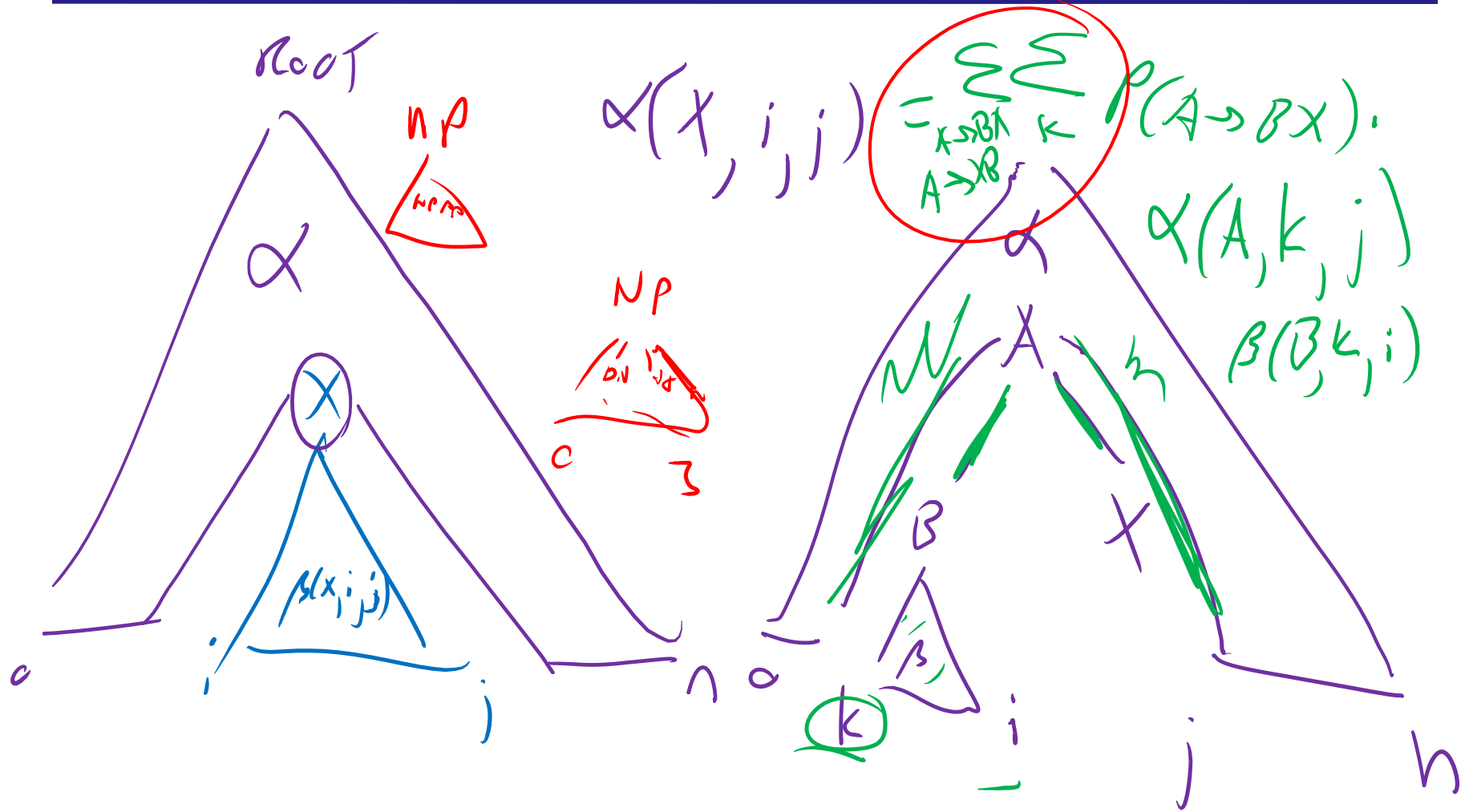


$$\beta(x, i, j) = \sum_{y, z} \sum_k P(yz|x) \cdot \beta(y, i, k) \cdot \beta(z, k, j)$$





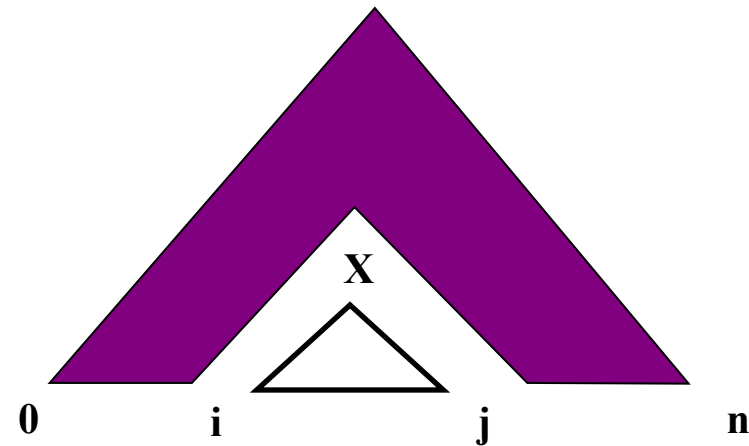
# Inside and Outside Scores





# Pruning with $A^*$

- You can also speed up the search without sacrificing optimality
- For agenda-based parsers:
  - Can select which items to process first
  - Can do with any “figure of merit” [Charniak 98]
  - If your figure-of-merit is a valid  $A^*$  heuristic, no loss of optimality [Klein and Manning 03]

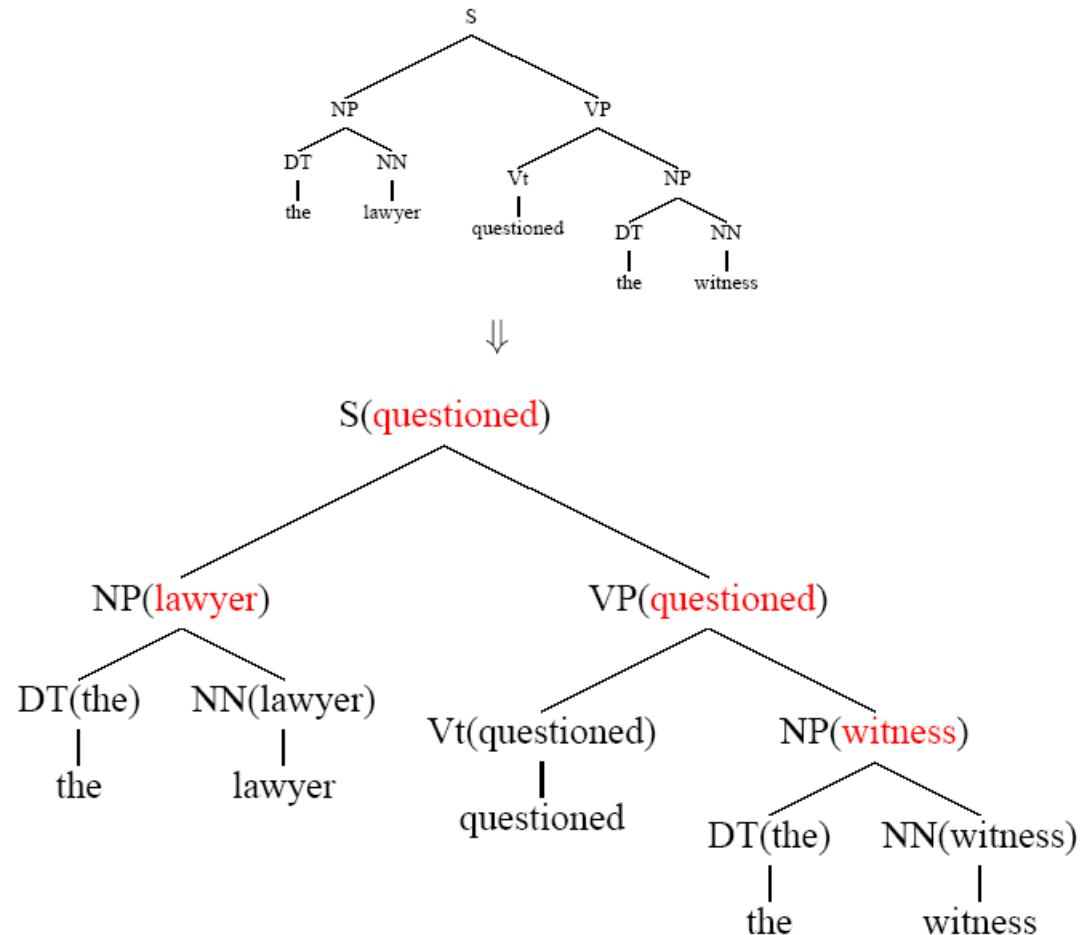


# Efficient Parsing for Lexical Grammars



# Lexicalized Trees

- Add “head words” to each phrasal node
  - Syntactic vs. semantic heads
  - Headship not in (most) treebanks
  - Usually use *head rules*, e.g.:
    - NP:
      - Take leftmost NP
      - Take rightmost N\*
      - Take rightmost JJ
      - Take right child
    - VP:
      - Take leftmost VB\*
      - Take leftmost VP
      - Take left child





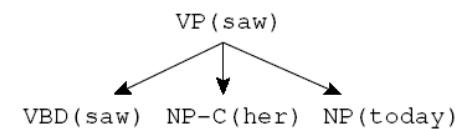
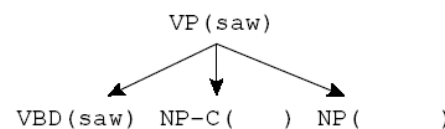
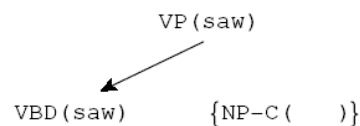
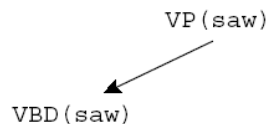


# Lexicalized PCFGs?

- Problem: we now have to estimate probabilities like

$VP(saw) \rightarrow VBD(saw) NP-C(her) NP(today)$

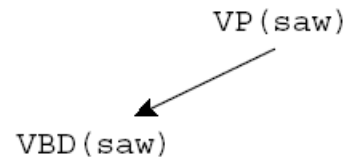
- Never going to get these atomically off of a treebank
- Solution: break up derivation into smaller steps



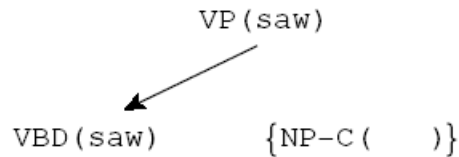


# Lexical Derivation Steps

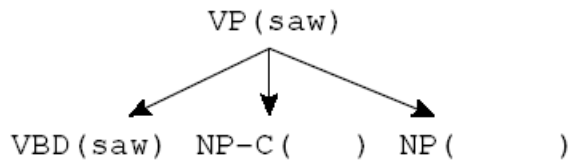
- A derivation of a local tree [Collins 99]



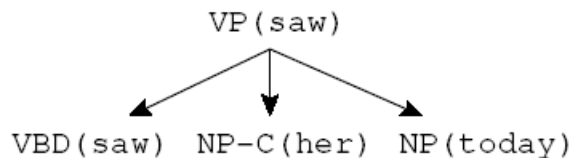
Choose a head tag and word



Choose a complement bag



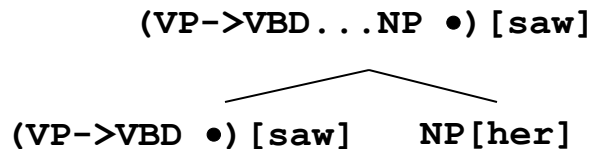
Generate children (incl. adjuncts)



Recursively derive children



# Lexicalized CKY



**bestScore**(X,i,j,h)

if (j = i+1)

return **tagScore**(X,s[i])

else

return

**max**  $\max_{k,h',X \rightarrow YZ}$  **score**(X[h]→Y[h] Z[h']) \*

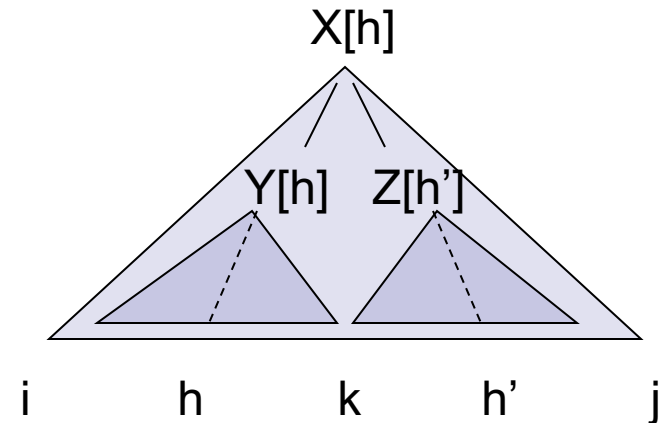
**bestScore**(Y,i,k,h) \*

**bestScore**(Z,k,j,h')

**max**  $\max_{k,h',X \rightarrow YZ}$  **score**(X[h]→Y[h'] Z[h]) \*

**bestScore**(Y,i,k,h') \*

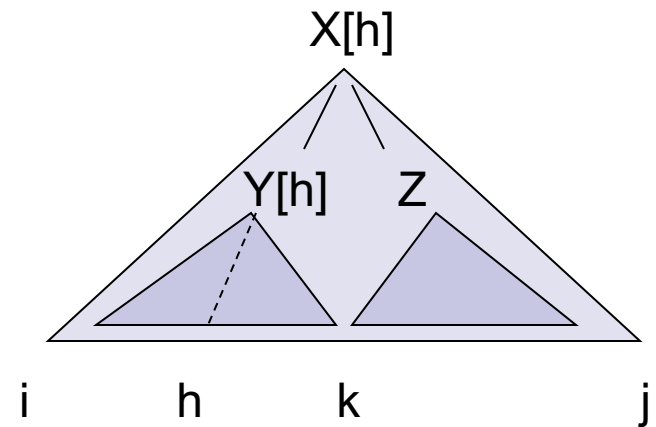
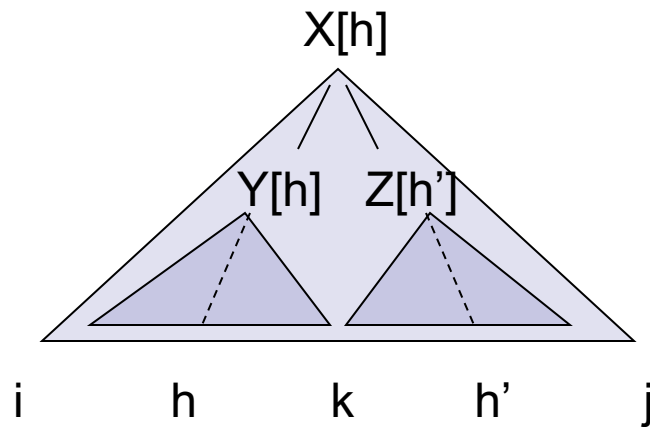
**bestScore**(Z,k,j,h)





# Quartic Parsing

- Turns out, you can do (a little) better [Eisner 99]

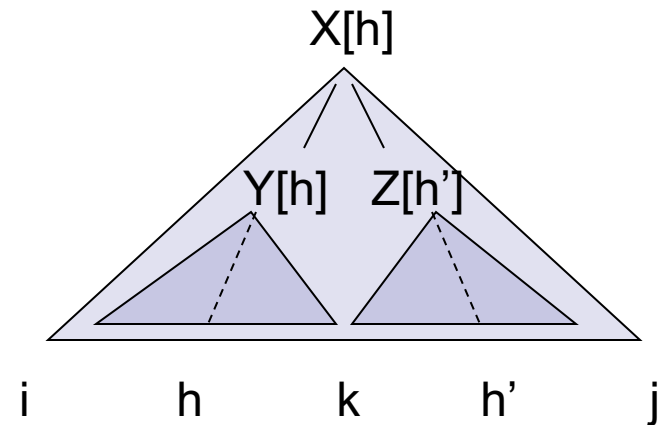


- Gives an  $O(n^4)$  algorithm
- Still prohibitive in practice if not pruned



# Pruning with Beams

- The Collins parser prunes with per-cell beams [Collins 99]
  - Essentially, run the  $O(n^5)$  CKY
  - Remember only a few hypotheses for each span  $\langle i, j \rangle$ .
  - If we keep  $K$  hypotheses at each span, then we do at most  $O(nK^2)$  work per span (why?)
  - Keeps things more or less cubic (and in practice is more like linear!)
- Also: certain spans are forbidden entirely on the basis of punctuation (crucial for speed)





# Pruning with a PCFG

---

- The Charniak parser prunes using a two-pass, coarse-to-fine approach [Charniak 97+]
  - First, parse with the base grammar
  - For each  $X:[i,j]$  calculate  $P(X|i,j,s)$ 
    - This isn't trivial, and there are clever speed ups
  - Second, do the full  $O(n^5)$  CKY
    - Skip any  $X:[i,j]$  which had low (say,  $< 0.0001$ ) posterior
  - Avoids almost all work in the second phase!
- Charniak et al 06: can use more passes
- Petrov et al 07: can use many more passes



# Results

---

- Some results

- Collins 99 – 88.6 F1 (generative lexical)
- Charniak and Johnson 05 – 89.7 / 91.3 F1 (generative lexical / reranked)
- Petrov et al 06 – 90.7 F1 (generative unlexical)
- McClosky et al 06 – 92.1 F1 (gen + rerank + self-train)

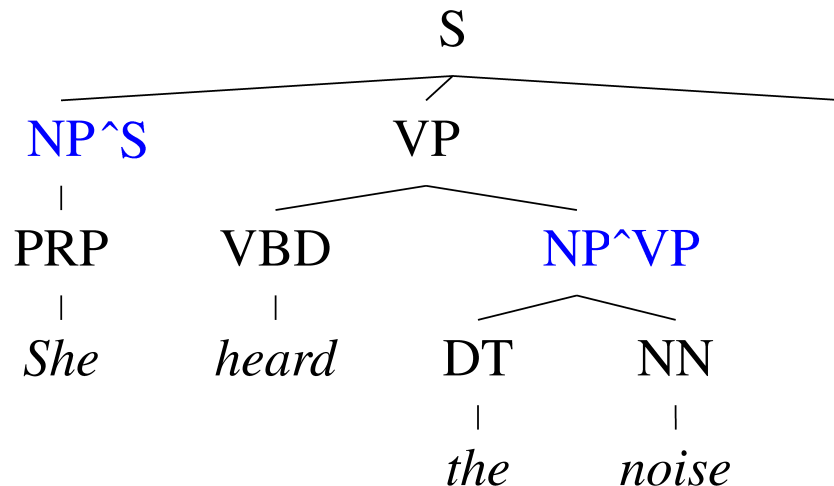
# Latent Variable PCFGs





# The Game of Designing a Grammar

---

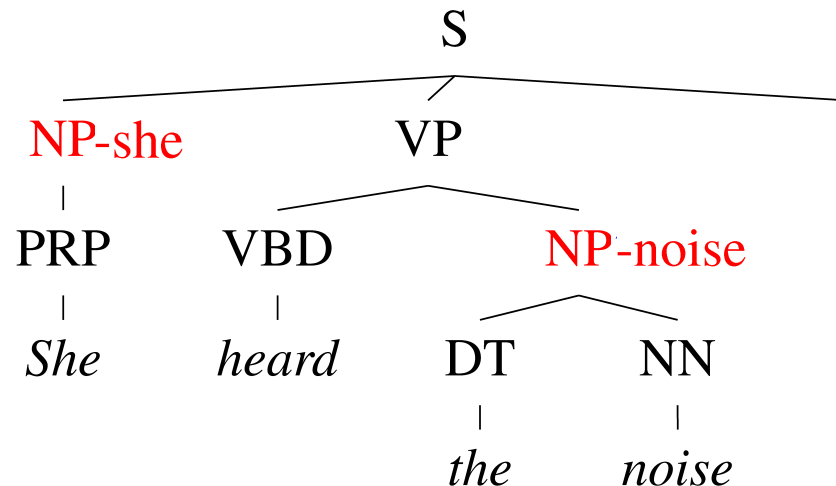


- Annotation refines base treebank symbols to improve statistical fit of the grammar
  - Parent annotation [Johnson '98]



# The Game of Designing a Grammar

---

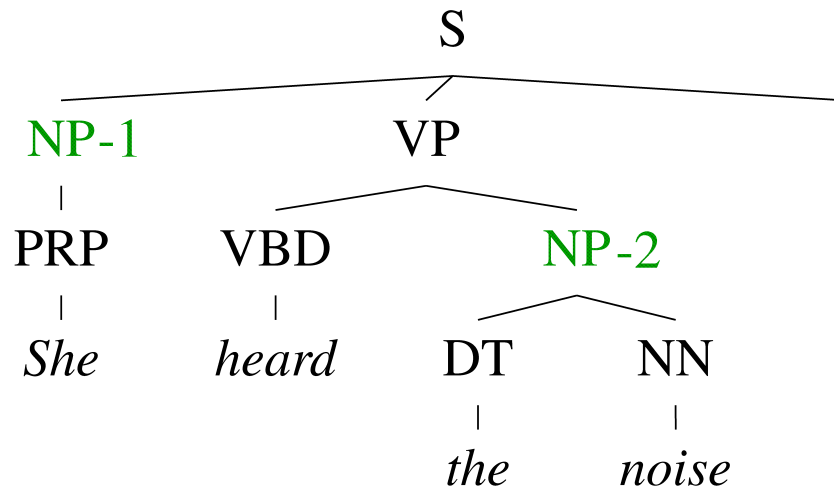


- Annotation refines base treebank symbols to improve statistical fit of the grammar
  - Parent annotation [Johnson '98]
  - Head lexicalization [Collins '99, Charniak '00]



# The Game of Designing a Grammar

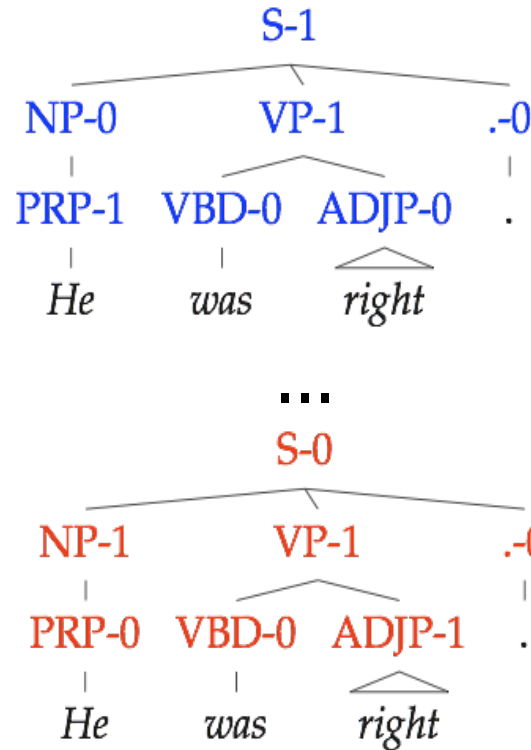
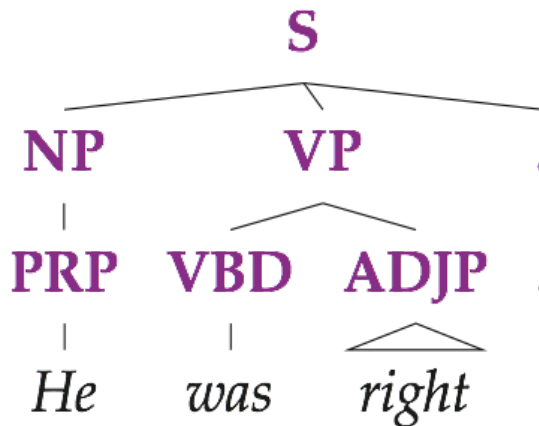
---



- Annotation refines base treebank symbols to improve statistical fit of the grammar
  - Parent annotation [Johnson '98]
  - Head lexicalization [Collins '99, Charniak '00]
  - Automatic clustering?



# Latent Variable Grammars



Grammar G		
$S_0 \rightarrow NP_0 VP_0$	?	
$S_0 \rightarrow NP_1 VP_0$	?	
$S_0 \rightarrow NP_0 VP_1$	?	
$S_0 \rightarrow NP_1 VP_1$	?	
$S_1 \rightarrow NP_0 VP_0$	?	
...		
$S_1 \rightarrow NP_1 VP_1$	?	
...		
$NP_0 \rightarrow PRP_0$	?	
$NP_0 \rightarrow PRP_1$	?	
...		

Lexicon		
$PRP_0 \rightarrow$	She	?
$PRP_1 \rightarrow$	She	?
...		
$VBD_0 \rightarrow$	was	?
$VBD_1 \rightarrow$	was	?
$VBD_2 \rightarrow$	was	?
...		

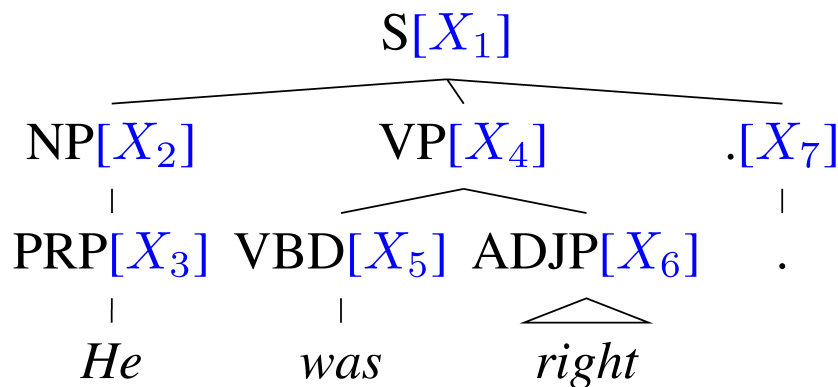
Parameters  $\theta$



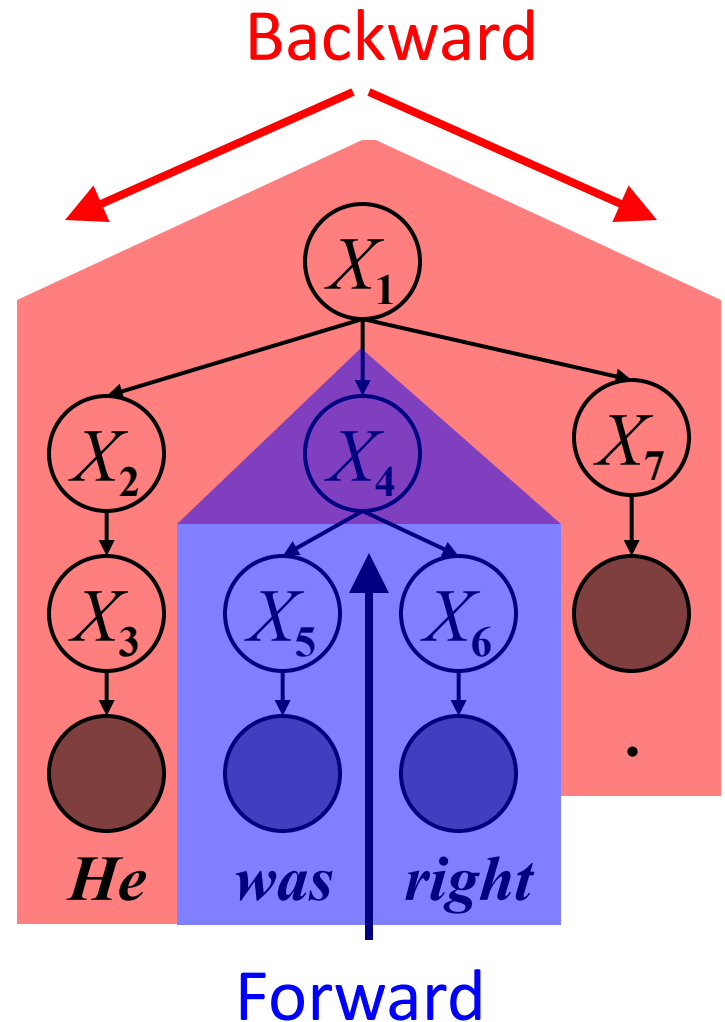
# Learning Latent Annotations

## EM algorithm:

- Brackets are known
- Base categories are known
- Only induce subcategories

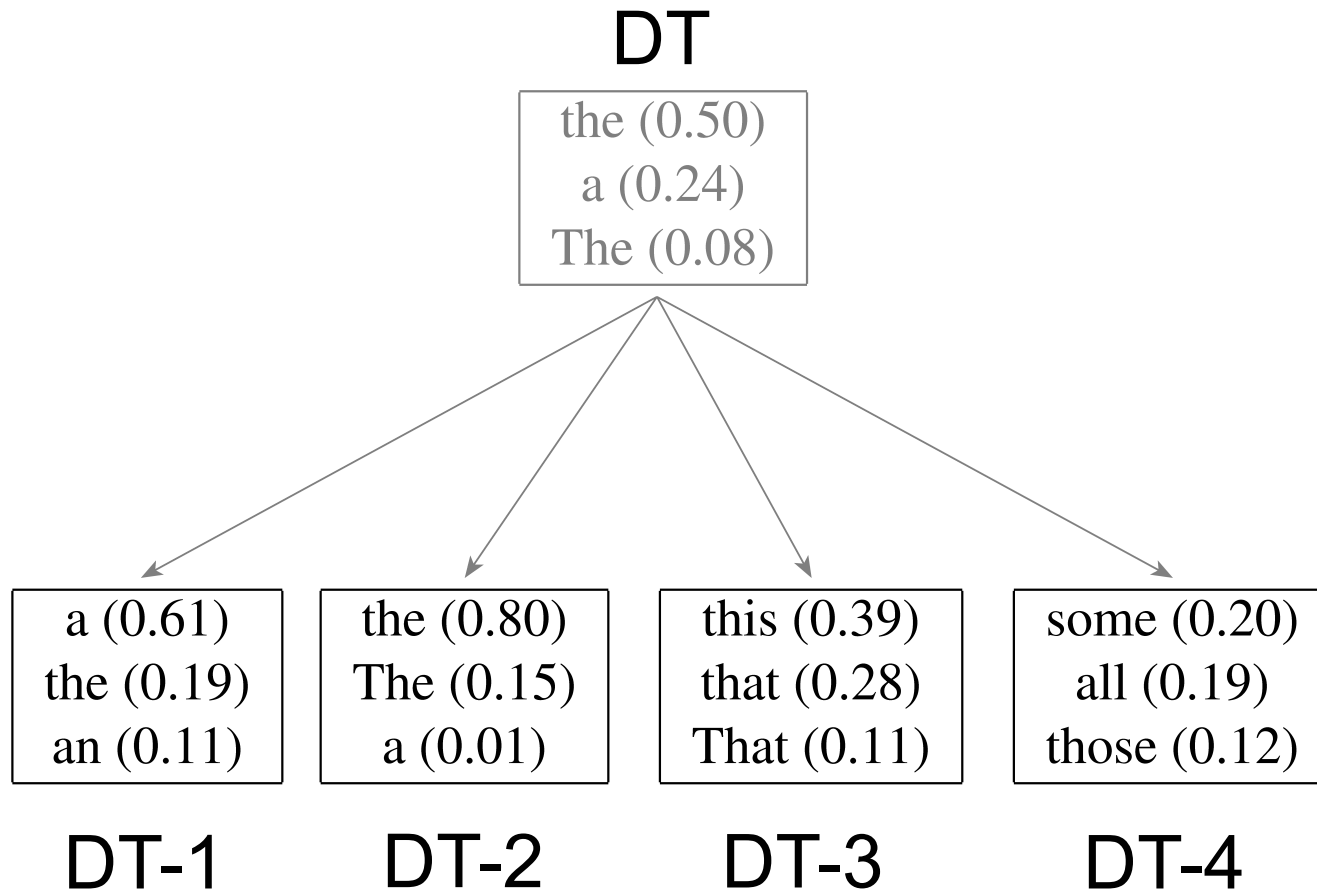


Just like Forward-Backward for HMMs.



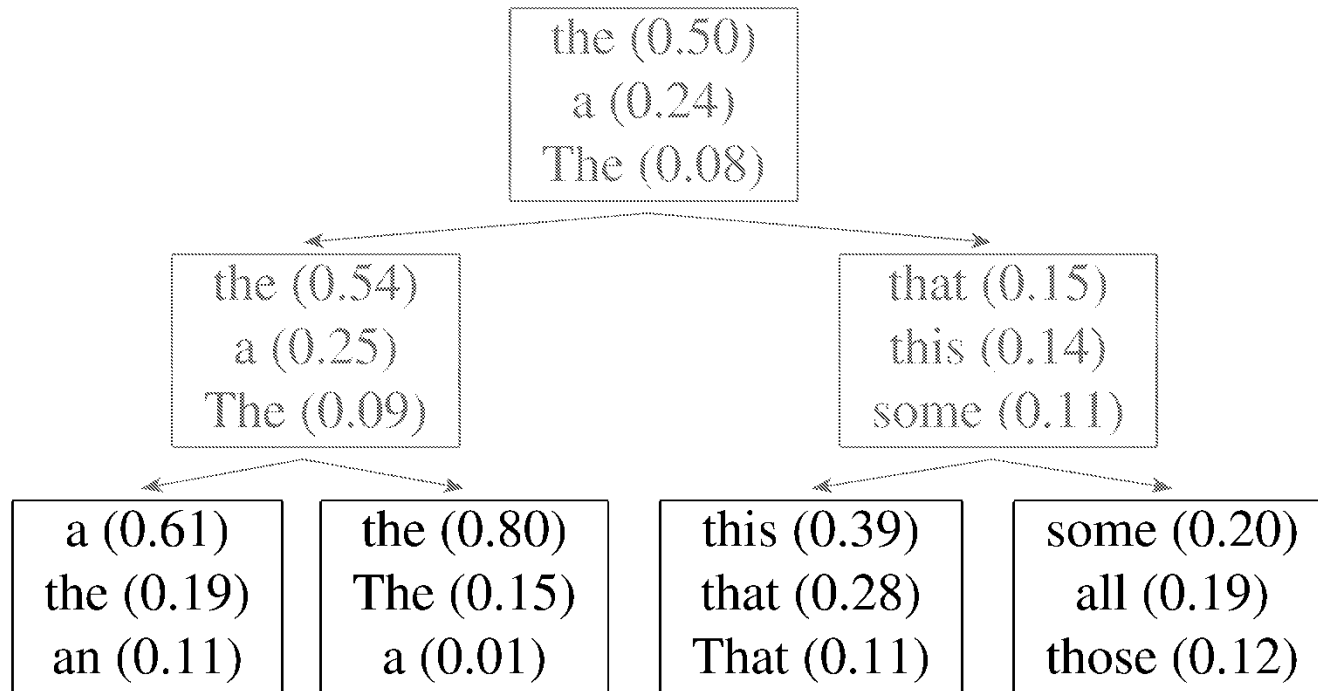


# Refinement of the DT tag



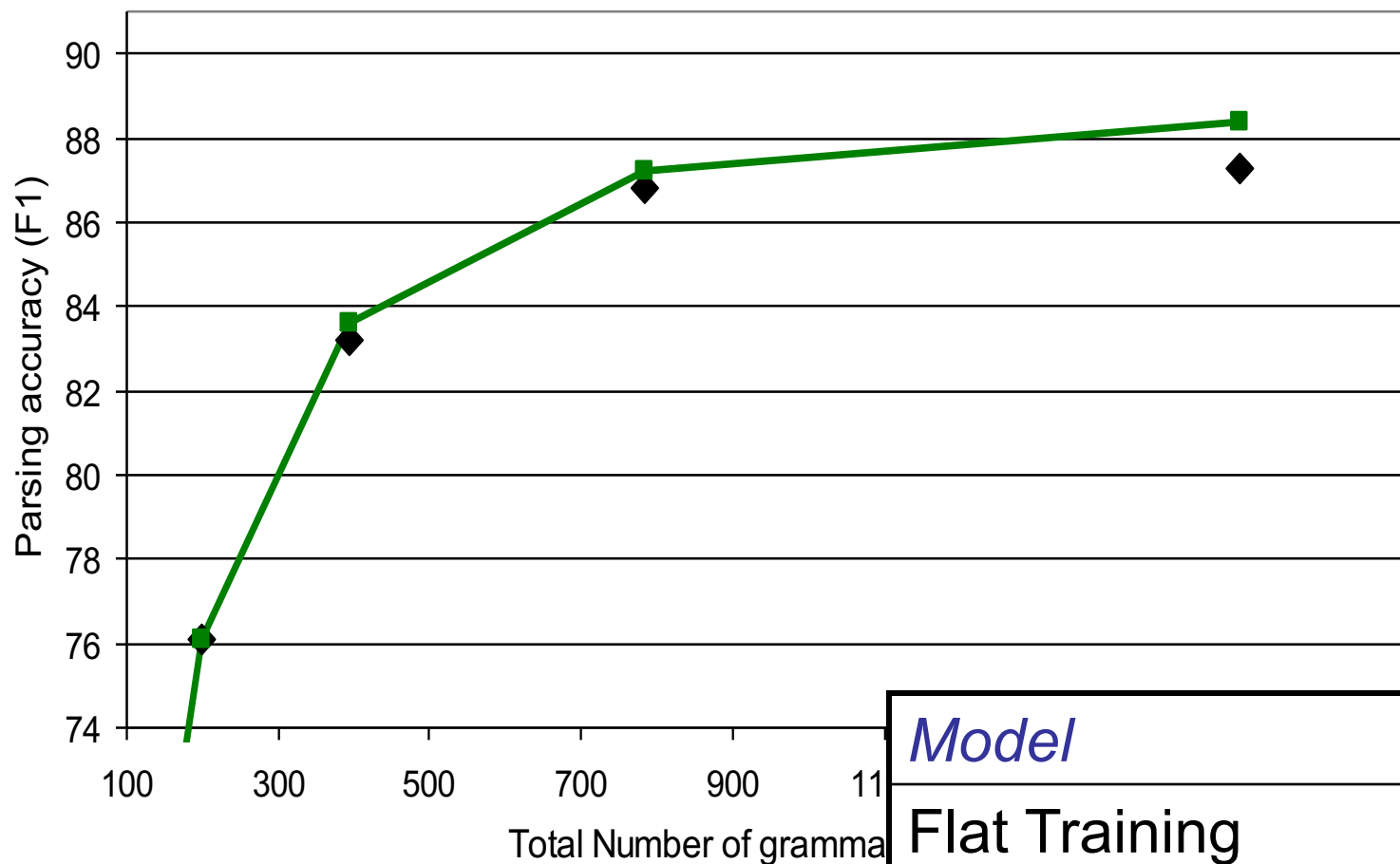


# Hierarchical refinement





# Hierarchical Estimation Results



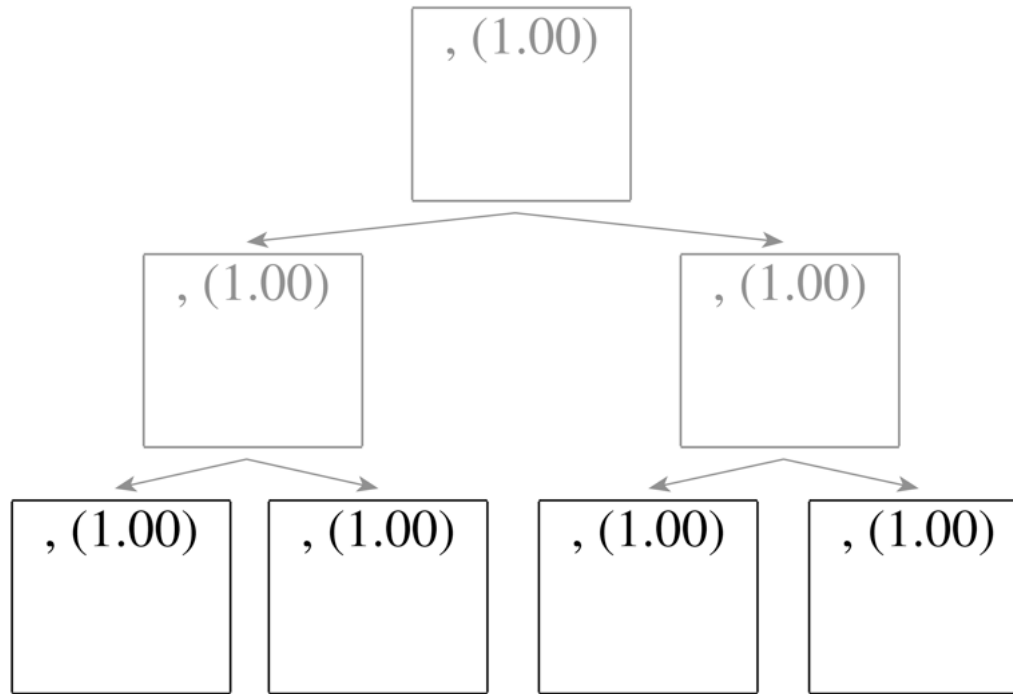
<i>Model</i>	<i>F1</i>
Flat Training	87.3
Hierarchical Training	88.4





# Refinement of the , tag

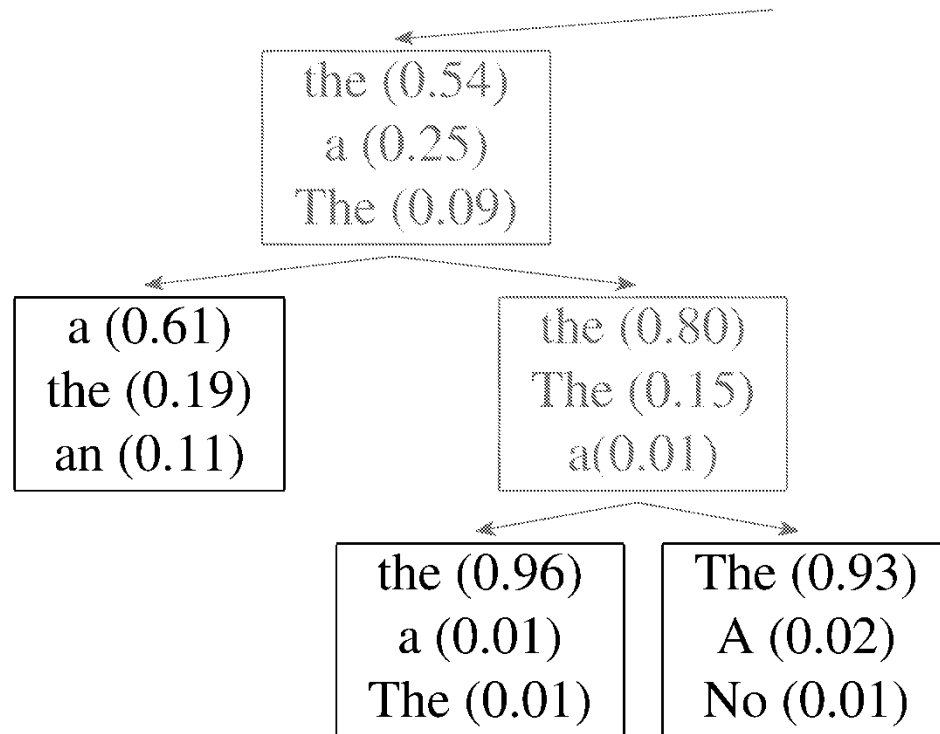
- Splitting all categories equally is wasteful:





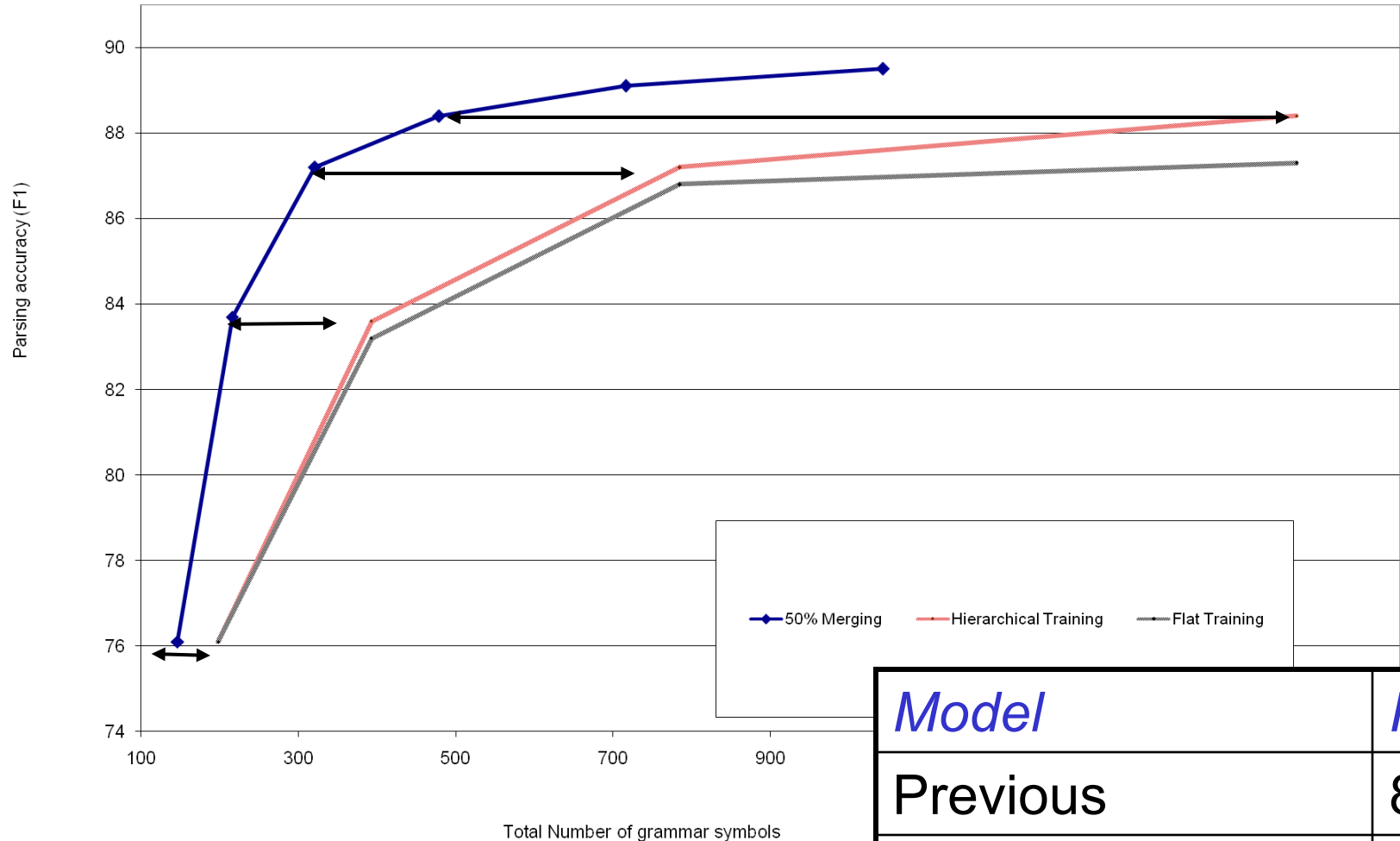
# Adaptive Splitting

- Want to split complex categories more
- Idea: split everything, roll back splits which were least useful





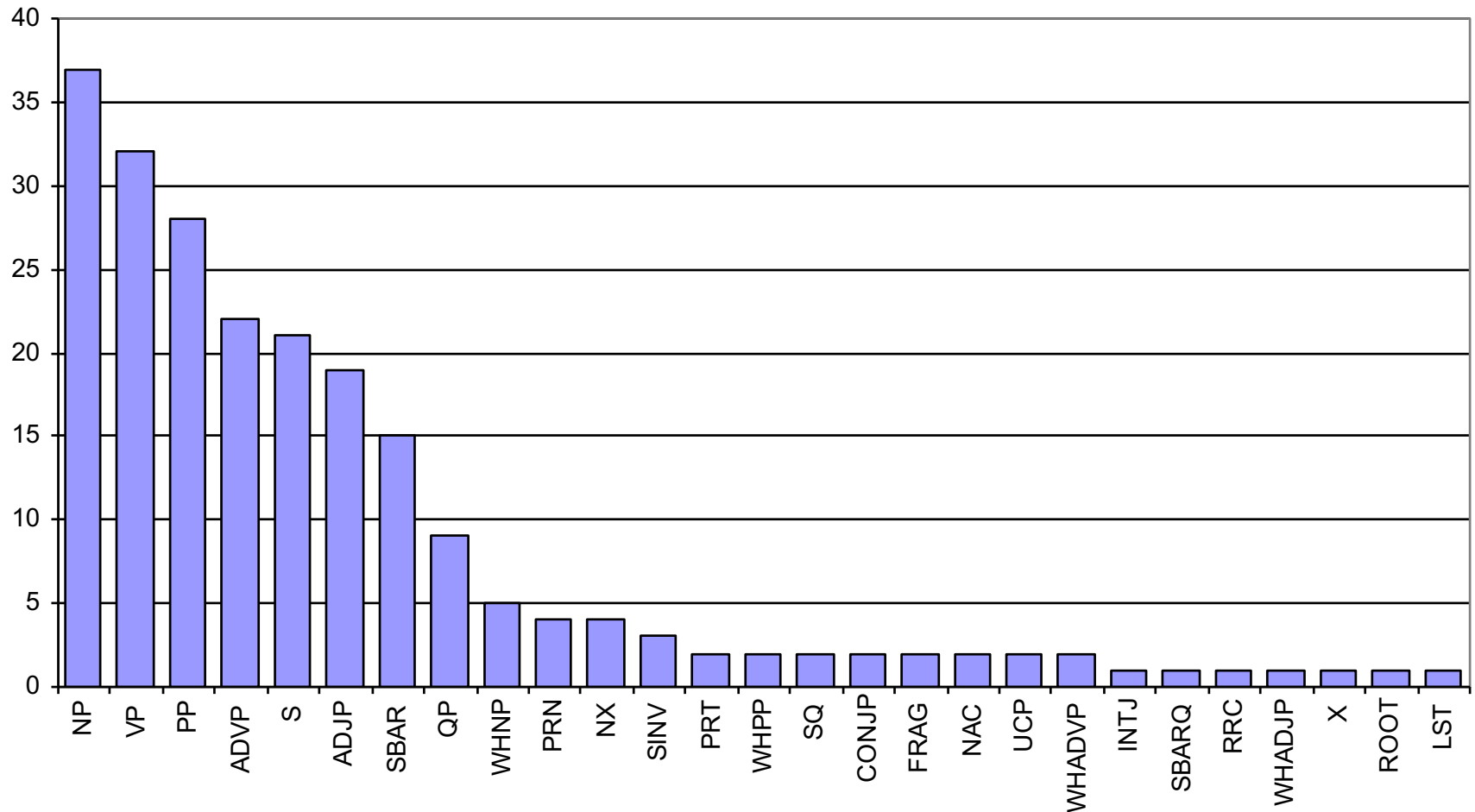
# Adaptive Splitting Results



Model	F1
Previous	88.4
With 50% Merging	89.5

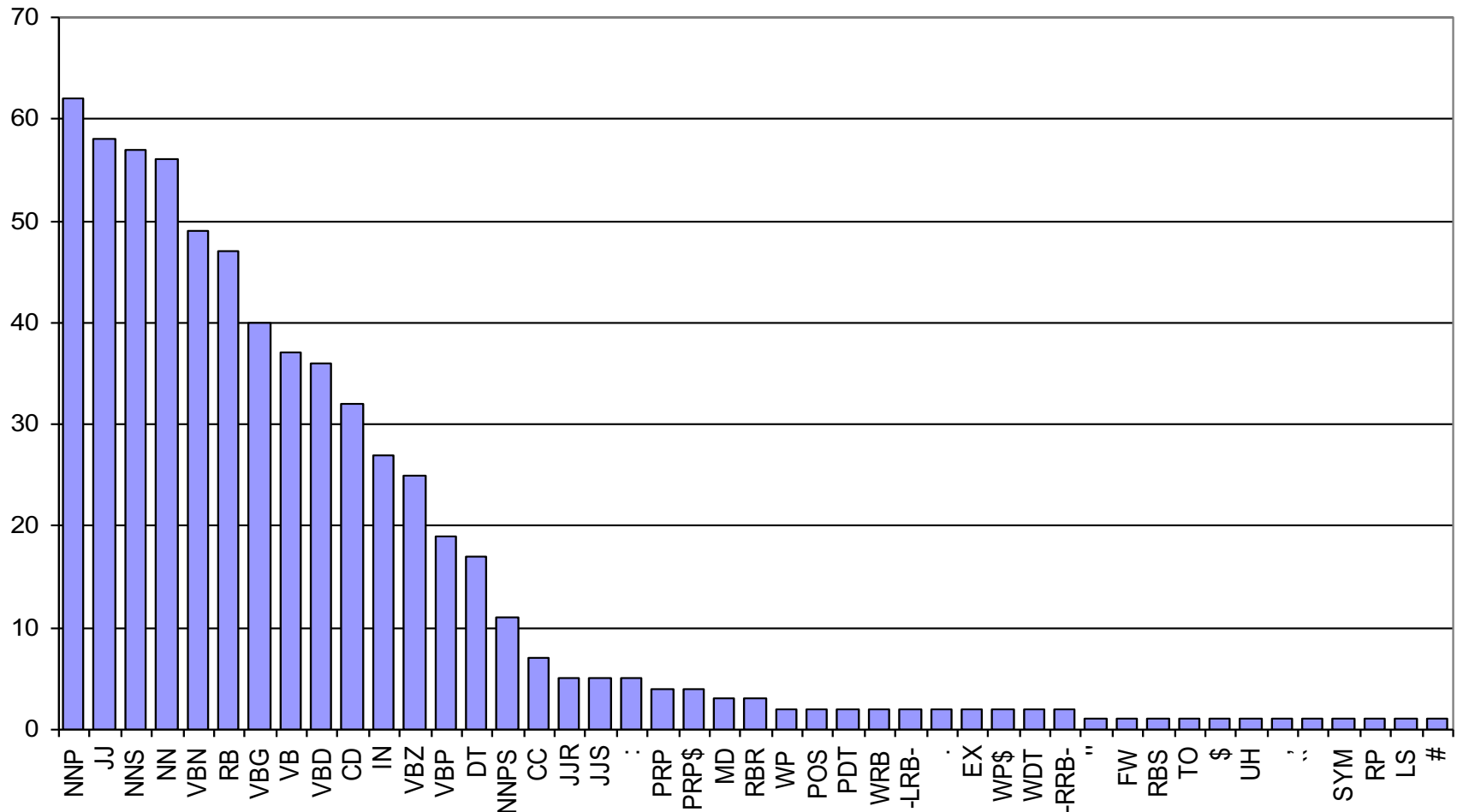


# Number of Phrasal Subcategories





# Number of Lexical Subcategories





# Learned Splits

---

- Proper Nouns (NNP):

NNP-14	Oct.	Nov.	Sept.
NNP-12	John	Robert	James
NNP-2	J.	E.	L.
NNP-1	Bush	Noriega	Peters
NNP-15	New	San	Wall
NNP-3	York	Francisco	Street

- Personal pronouns (PRP):

PRP-0	It	He	I
PRP-1	it	he	they
PRP-2	it	them	him



# Learned Splits

---

- Relative adverbs (RBR):

RBR-0	further	lower	higher
RBR-1	more	less	More
RBR-2	earlier	Earlier	later

- Cardinal Numbers (CD):

CD-7	one	two	Three
CD-4	1989	1990	1988
CD-11	million	billion	trillion
CD-0	1	50	100
CD-3	1	30	31
CD-9	78	58	34



# Final Results (Accuracy)

		$\leq 40$ words F1	all F1
ENG	Charniak&Johnson '05 (generative)	90.1	89.6
	<b>Split / Merge</b>	<b>90.6</b>	<b>90.1</b>
GER	Dubey '05	76.3	-
	<b>Split / Merge</b>	<b>80.8</b>	<b>80.1</b>
CHN	Chiang et al. '02	80.0	76.6
	<b>Split / Merge</b>	<b>86.3</b>	<b>83.4</b>

Still higher numbers from reranking / self-training methods

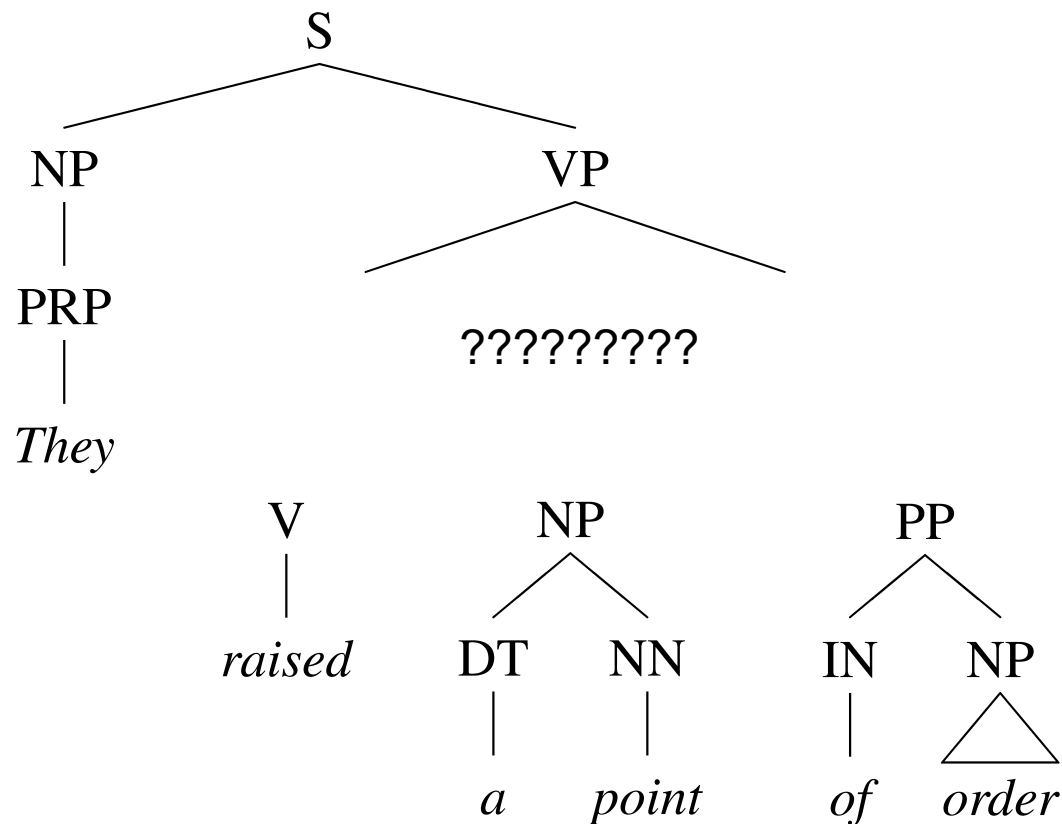


# Efficient Parsing for Hierarchical Grammars



# Coarse-to-Fine Inference

- Example: PP attachment





... ~~QP~~ NP VP ...

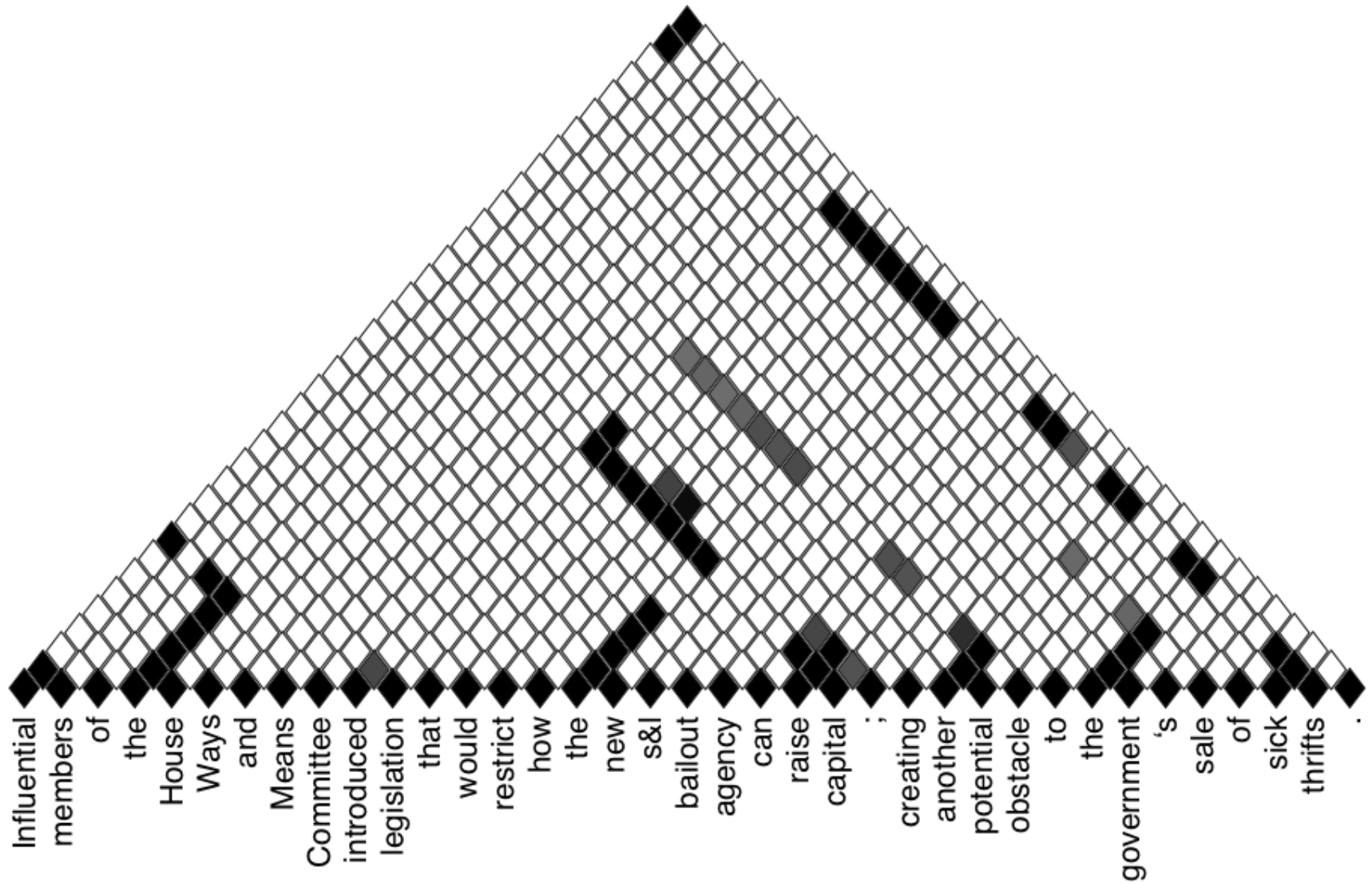
... ~~QF1~~ ~~QF2~~ NP1 ~~NP2~~ ~~VP1~~ VP2 ..

... ~~QP1~~ ~~QP1~~ ~~QP3~~ ~~QP4~~ NP1 NP2 NP3 NP4 VP1 VP2 VP3 VP4 ...

[illegible]



# Bracket Posteriors





**1621 min**

**111 min**

**35 min**

**15 min**

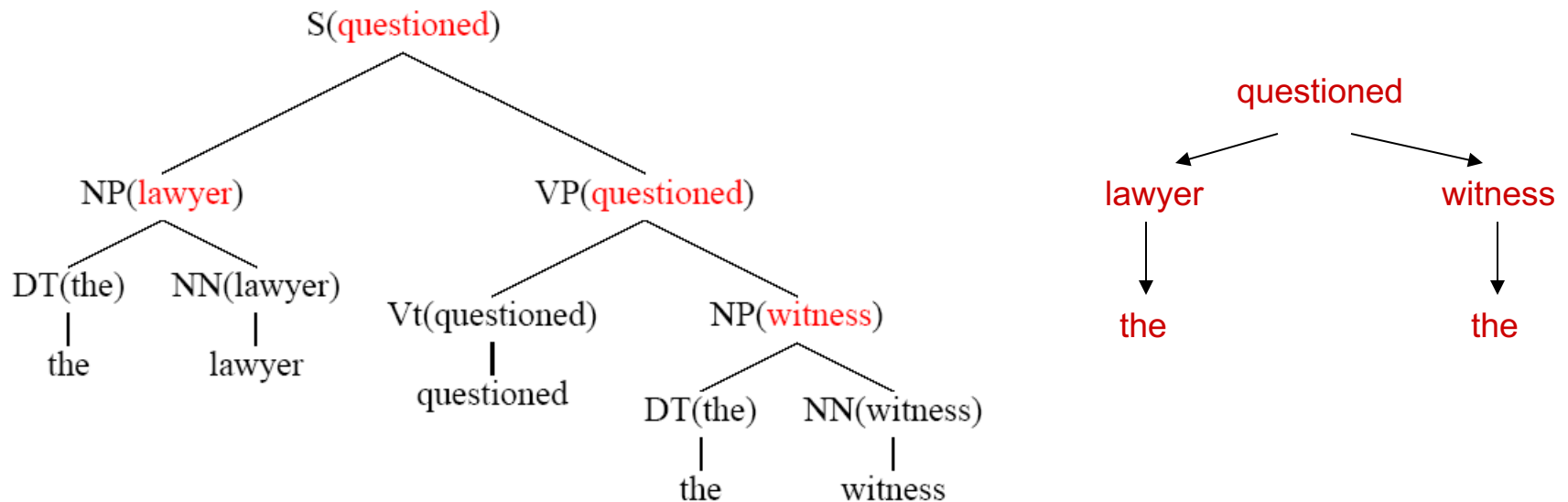
**(no search error)**

# Other Syntactic Models



# Dependency Parsing

- Lexicalized parsers can be seen as producing *dependency trees*

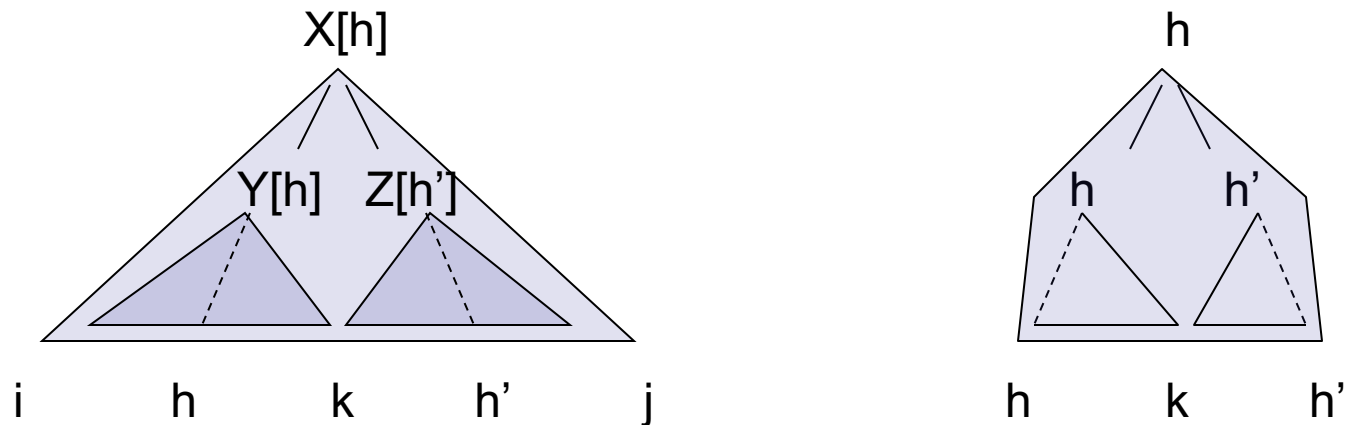


- Each local binary tree corresponds to an attachment in the dependency graph



# Dependency Parsing

- Pure dependency parsing is only cubic [Eisner 99]



- Some work on *non-projective* dependencies
  - Common in, e.g. Czech parsing
  - Can do with MST algorithms [McDonald and Pereira 05]

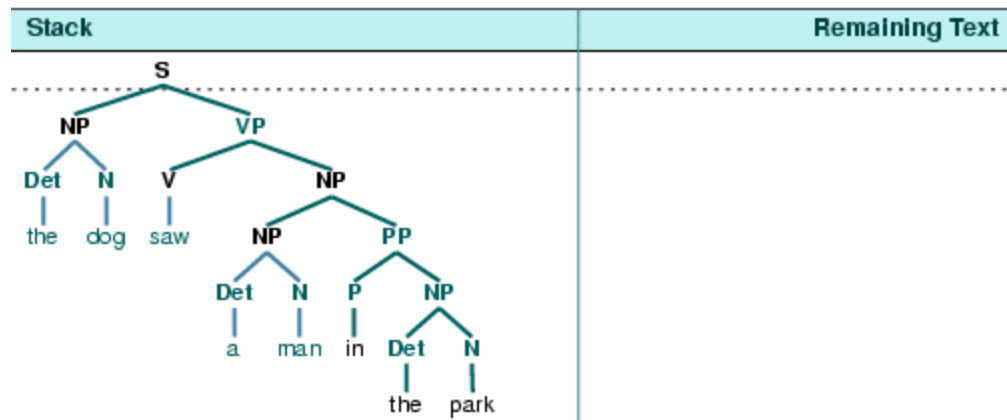






# Shift-Reduce Parsers

- Another way to derive a tree:

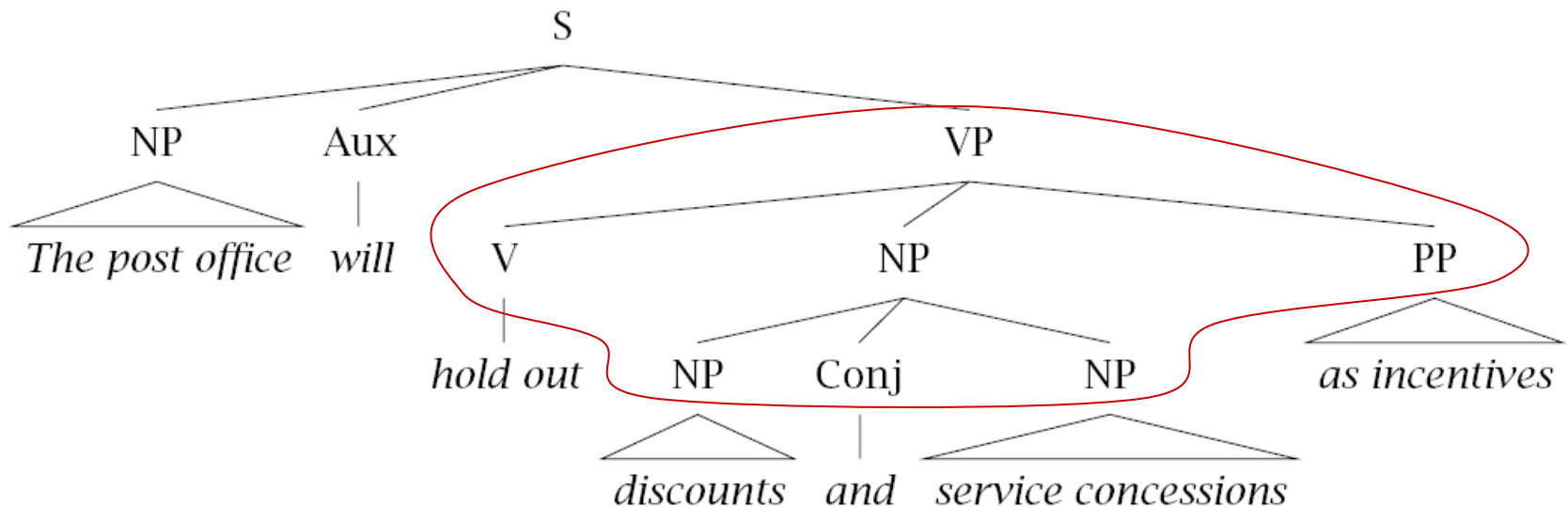


- Parsing
  - No useful dynamic programming search
  - Can still use beam search [Ratnaparkhi 97]



# Tree Insertion Grammars

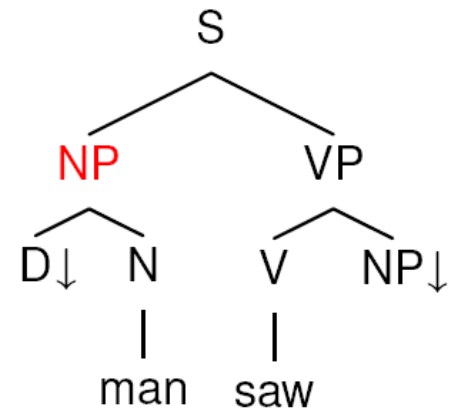
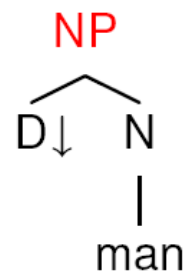
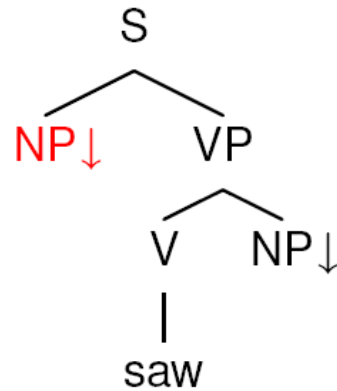
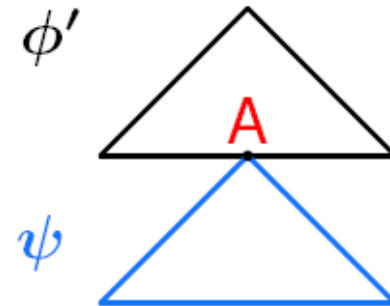
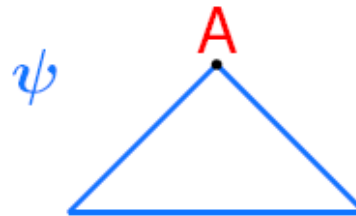
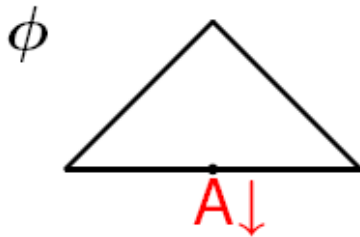
- Rewrite large (possibly lexicalized) subtrees in a single step



- Formally, a *tree-insertion grammar*
- Derivational ambiguity whether subtrees were generated atomically or compositionally
- Most probable *parse* is NP-complete



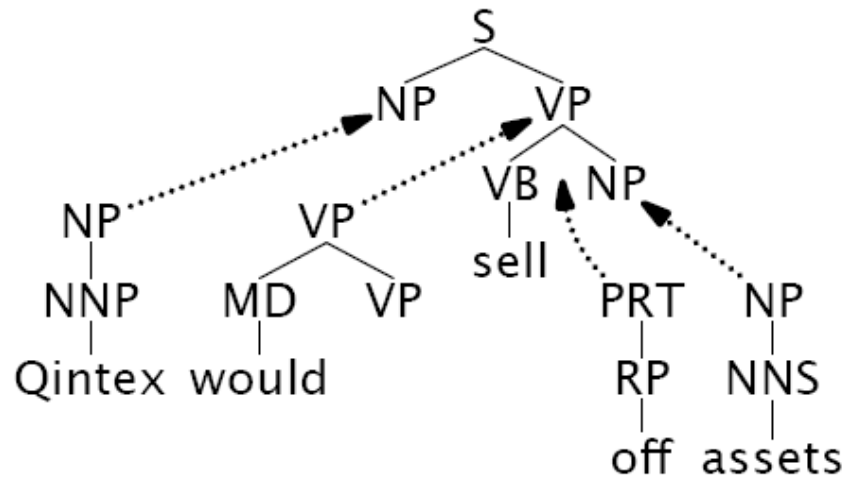
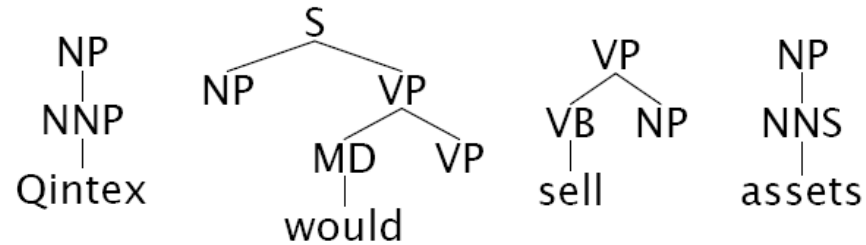
# TIG: Insertion





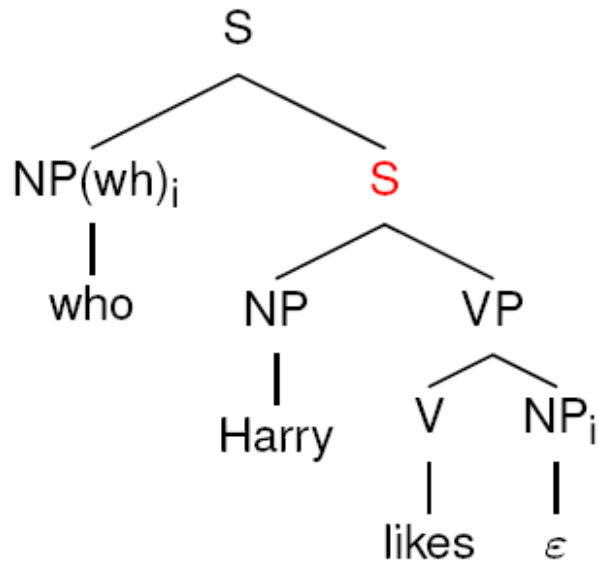
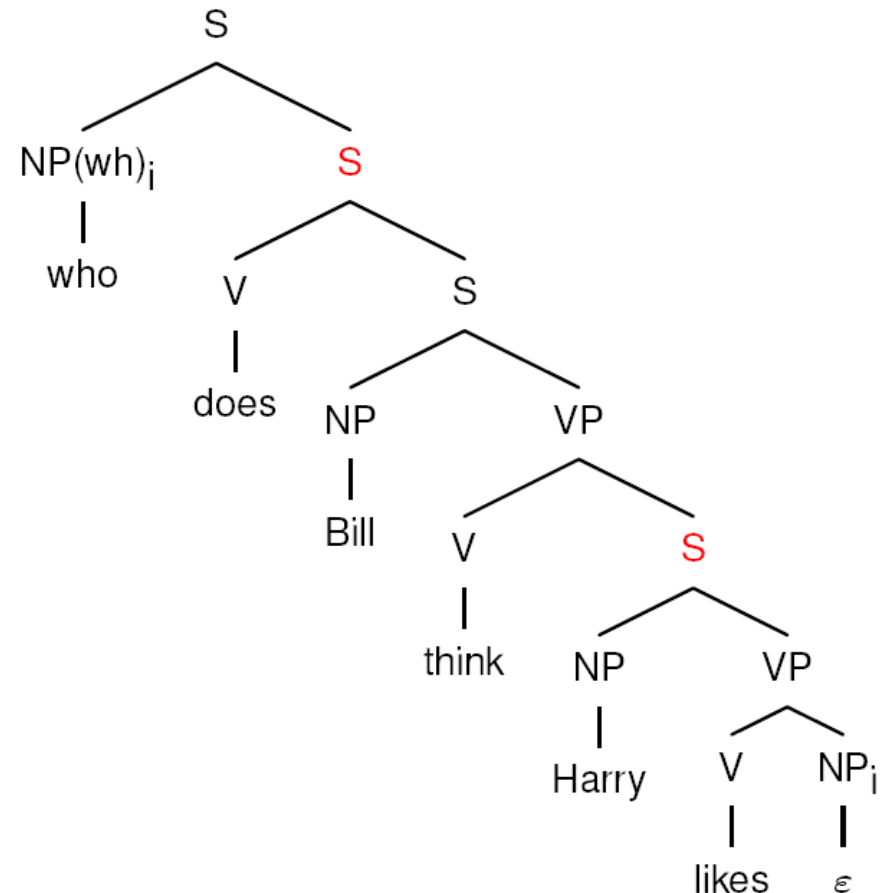
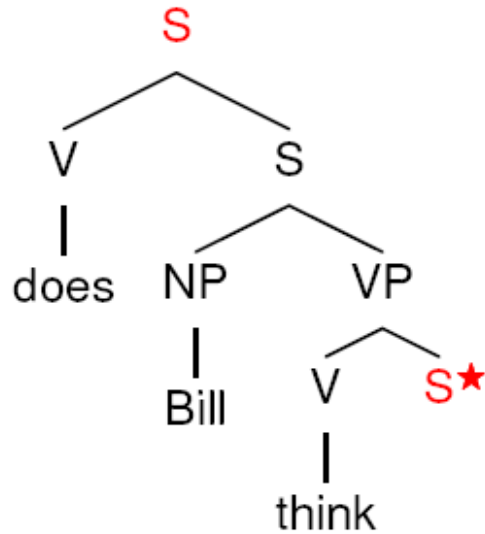
# Tree-adjoining grammars

- Start with *local trees*
- Can insert structure with *adjunction* operators
- Mildly context-sensitive
- Models long-distance dependencies naturally
- ... as well as other weird stuff that CFGs don't capture well (e.g. cross-serial dependencies)





# TAG: Long Distance





# CCG Parsing

## ■ Combinatory Categorial Grammar

- Fully (mono-) lexicalized grammar
- Categories encode argument sequences
- Very closely related to the lambda calculus (more later)
- Can have spurious ambiguities (why?)

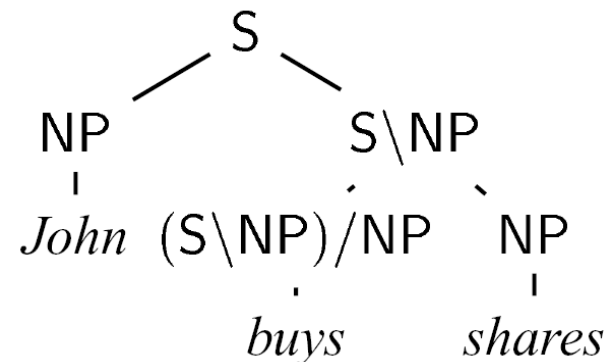
*John*  $\vdash$  NP

*shares*  $\vdash$  NP

*buys*  $\vdash$  (S\NP)/NP

*sleeps*  $\vdash$  S\NP

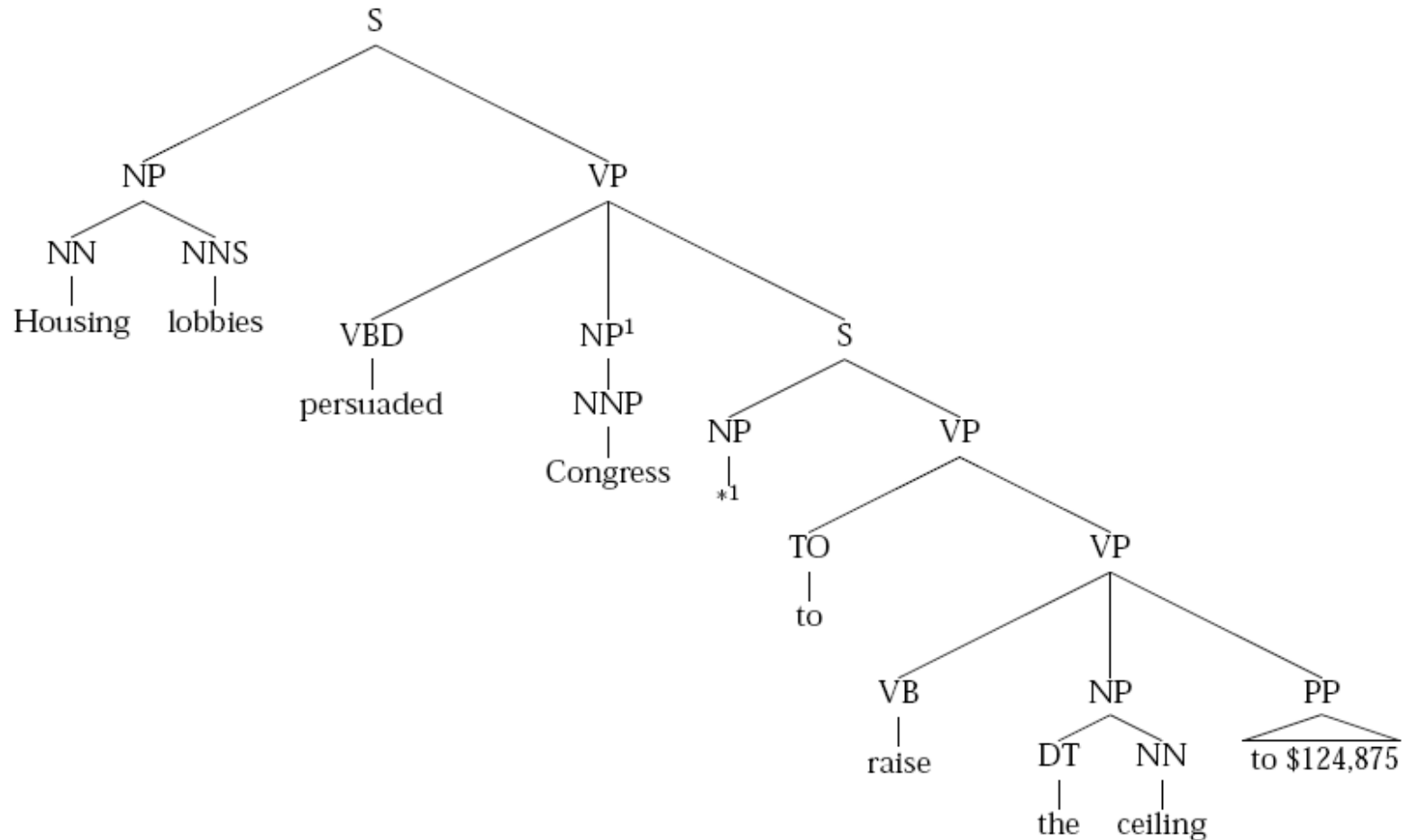
*well*  $\vdash$  (S\NP)\(S\NP)



# Empty Elements



# Empty Elements







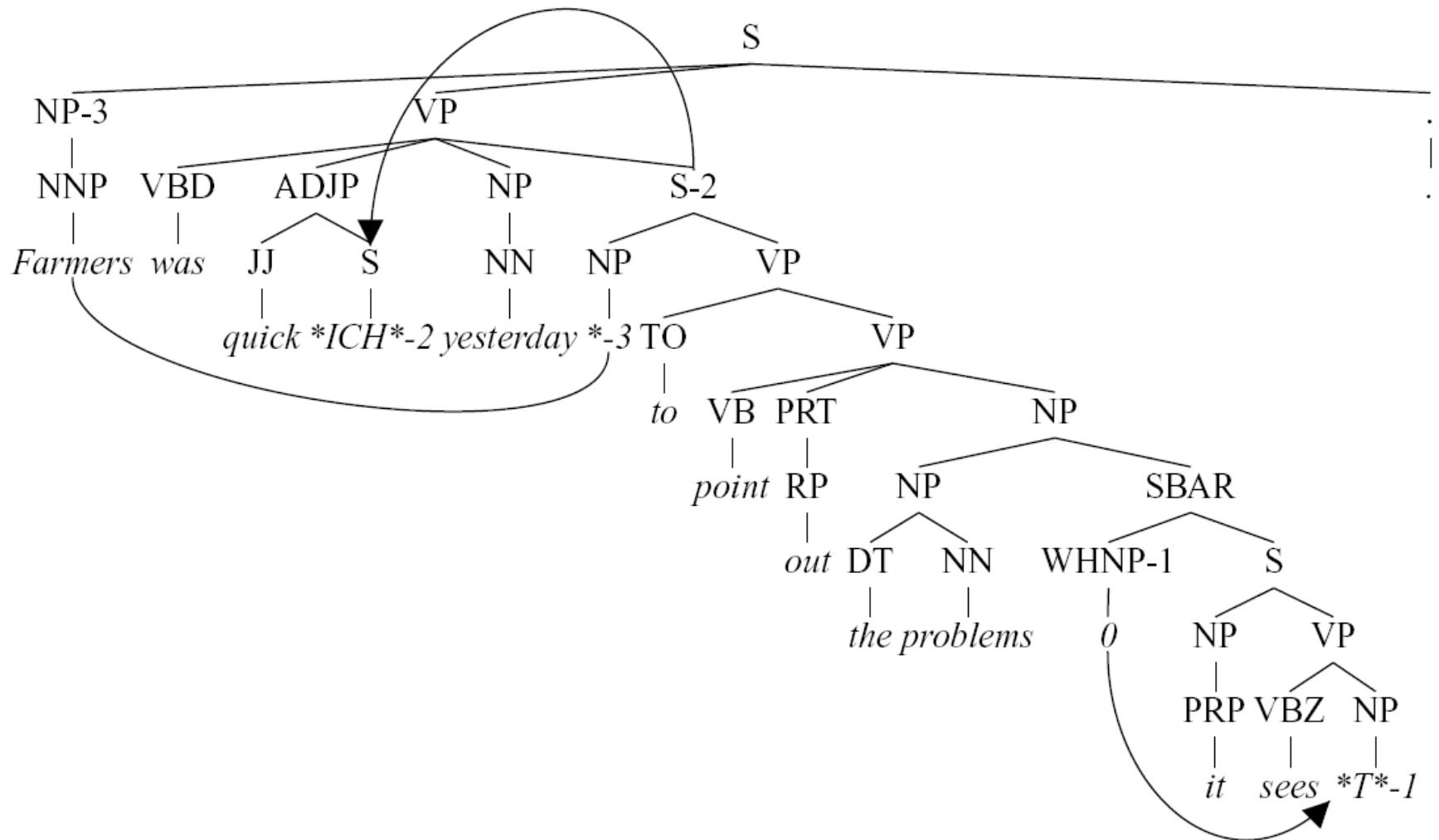
# Empty Elements

---

- In the PTB, three kinds of empty elements:
  - Null items (usually complementizers)
  - Dislocation (WH-traces, topicalization, relative clause and heavy NP extraposition)
  - Control (raising, passives, control, shared argumentation)
- Need to reconstruct these (and resolve any indexation)

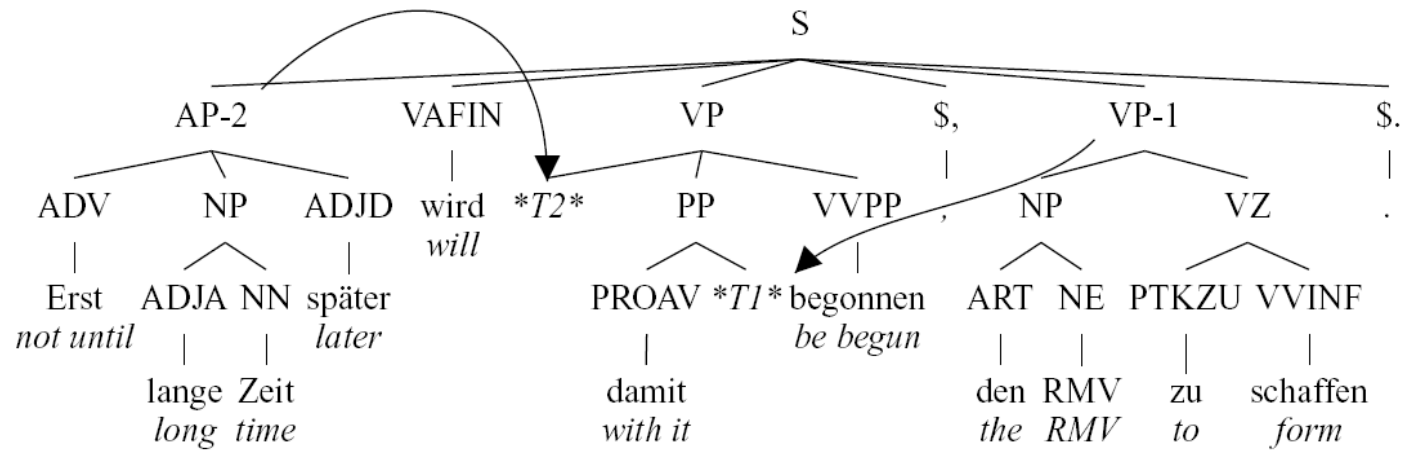


# Example: English





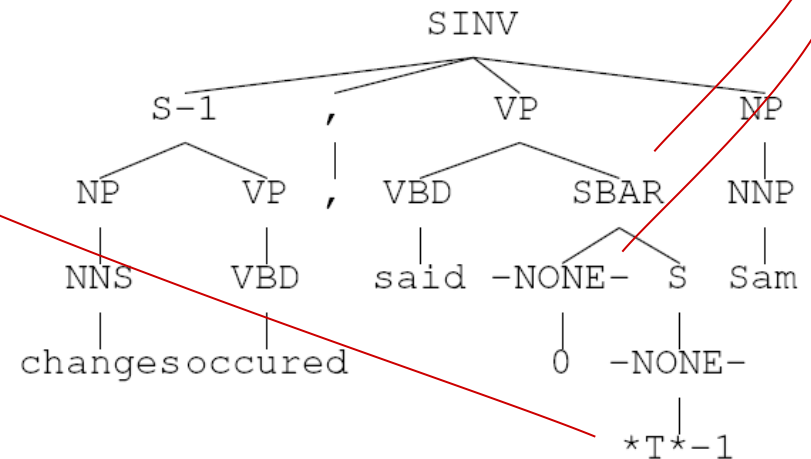
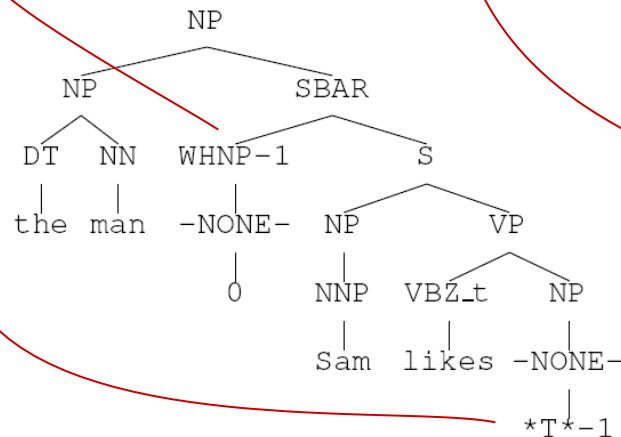
# Example: German





# Types of Empties

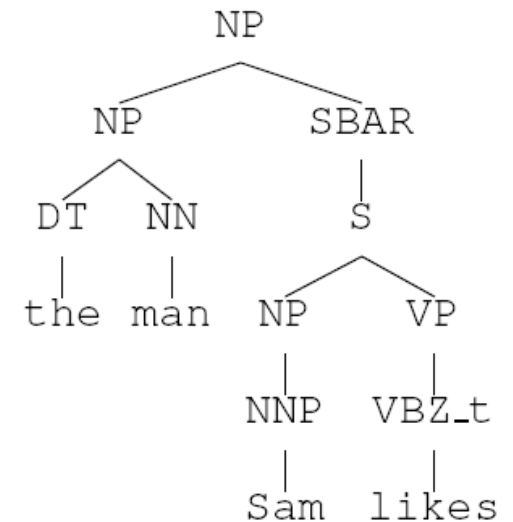
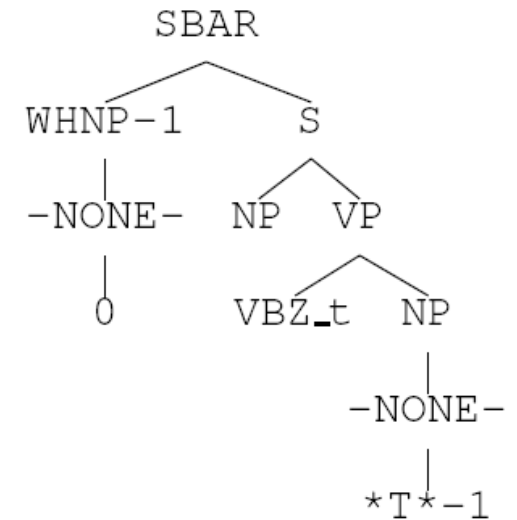
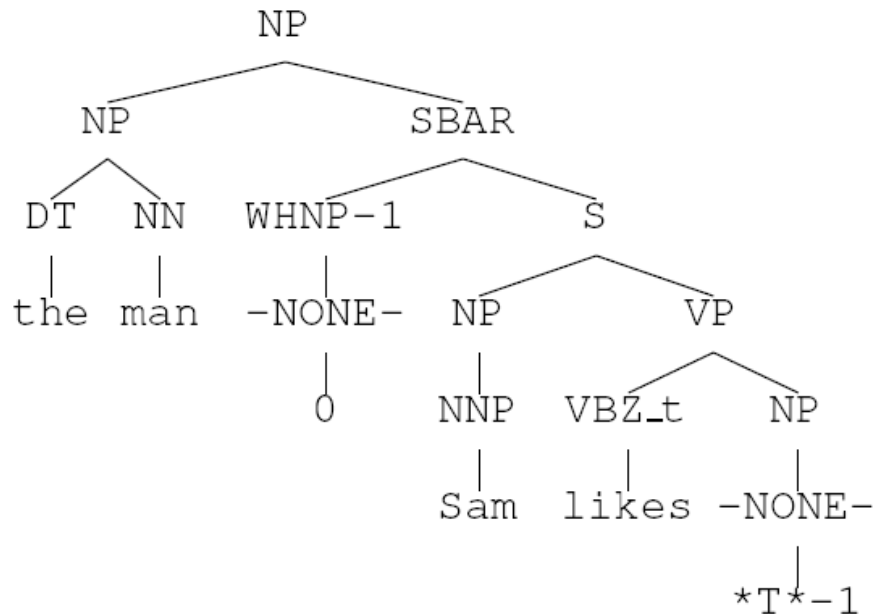
Antecedent	POS	Label	Count	Description
NP	NP	*	18,334	NP trace (e.g., <i>Sam was seen</i> *)
	NP	*	9,812	NP PRO (e.g., <i>* to sleep is nice</i> )
WHNP	NP	*T*	8,620	WH trace (e.g., <i>the woman who you saw</i> *T*)
		*U*	7,478	Empty units (e.g., <i>\$ 25</i> *U*)
		0	5,635	Empty complementizers (e.g., <i>Sam said 0 Sasha snores</i> )
S	S	*T*	4,063	Moved clauses (e.g., <i>Sam had to go, Sasha explained</i> *T*)
WHADVP	ADVP	*T*	2,492	WH-trace (e.g., <i>Sam explained how to leave</i> *T*)
	SBAR		2,033	Empty clauses (e.g., <i>Sam had to go, Sasha explained (SBAR)</i> )
	WHNP	0	1,759	Empty relative pronouns (e.g., <i>the woman 0 we saw</i> )
	WHADVP	0	575	Empty relative pronouns (e.g., <i>no reason 0 to leave</i> )





# A Pattern-Matching Approach

- [Johnson 02]





# Pattern-Matching Details

---

- Something like transformation-based learning
- Extract patterns
  - Details: transitive verb marking, auxiliaries
  - Details: legal subtrees
- Rank patterns
  - Pruning ranking: by correct / match rate
  - Application priority: by depth
- Pre-order traversal
- Greedy match



# Top Patterns Extracted

---

Count	Match	Pattern
5816	6223	(S (NP (-NONE- *)) VP)
5605	7895	(SBAR (-NONE- 0) S)
5312	5338	(SBAR WHNP-1 (S (NP (-NONE- *T*-1)) VP))
4434	5217	(NP QP (-NONE- *U*))
1682	1682	(NP \$ CD (-NONE- *U*))
1327	1593	(VP VBN_t (NP (-NONE- *)) PP)
700	700	(ADJP QP (-NONE- *U*))
662	1219	(SBAR (WHNP-1 (-NONE- 0)) (S (NP (-NONE- *T*-1)) VP))
618	635	(S S-1 , NP (VP VBD (SBAR (-NONE- 0) (S (-NONE- *T*-1)))) .)
499	512	(SINV `` S-1 , '' (VP VBZ (S (-NONE- *T*-1))) NP .)
361	369	(SINV `` S-1 , '' (VP VBD (S (-NONE- *T*-1))) NP .)
352	320	(S NP-1 (VP VBZ (S (NP (-NONE- *-1)) VP)))
346	273	(S NP-1 (VP AUX (VP VBN_t (NP (-NONE- *-1)) PP)))
322	467	(VP VBD_t (NP (-NONE- *)) PP)
269	275	(S `` S-1 , '' NP (VP VBD (S (-NONE- *T*-1))) .)



# Results

Empty node		Section 23			Parser output		
POS	Label	<i>P</i>	<i>R</i>	<i>f</i>	<i>P</i>	<i>R</i>	<i>f</i>
(Overall)		0.93	0.83	0.88	0.85	0.74	0.79
NP	*	0.95	0.87	0.91	0.86	0.79	0.82
NP	*T*	0.93	0.88	0.91	0.85	0.77	0.81
	0	0.94	0.99	0.96	0.86	0.89	0.88
	*U*	0.92	0.98	0.95	0.87	0.96	0.92
S	*T*	0.98	0.83	0.90	0.97	0.81	0.88
ADVP	*T*	0.91	0.52	0.66	0.84	0.42	0.56
SBAR		0.90	0.63	0.74	0.88	0.58	0.70
WHNP	0	0.75	0.79	0.77	0.48	0.46	0.47



# Semantic Roles



# Semantic Role Labeling (SRL)

---

- Characterize clauses as *relations with roles*:

[*Judge* She ] **blames** [*Evaluee* the Government ] [*Reason* for failing to do enough to help ] .

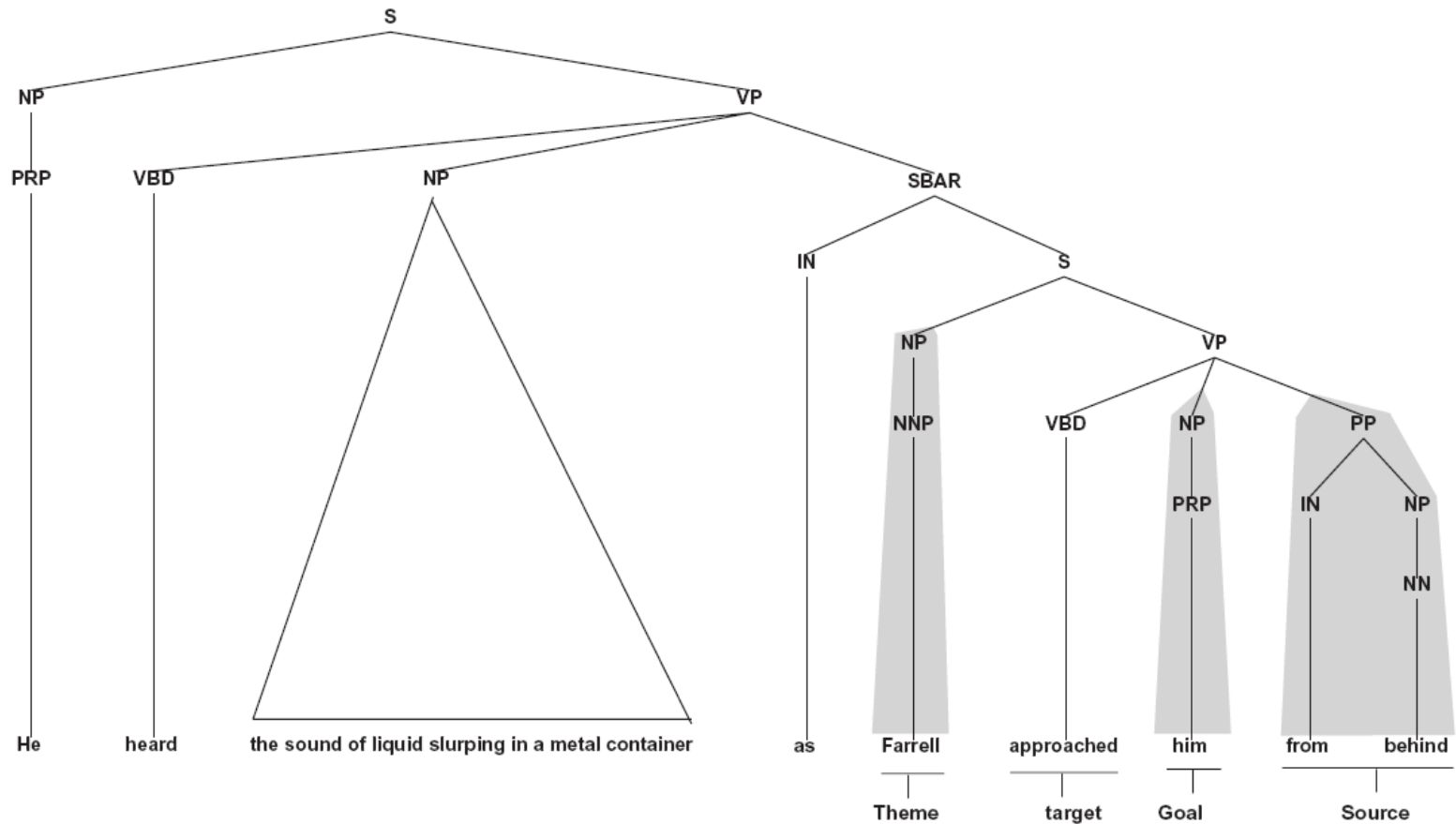
Holman would characterise this as **blaming** [*Evaluee* the poor ] .

The letter quotes Black as saying that [*Judge* white and Navajo ranchers ] misrepresent their livestock losses and **blame** [*Reason* everything ] [*Evaluee* on coyotes ] .

- Says more than which NP is the subject (but not much more):
- Relations like *subject* are syntactic, relations like *agent* or *message* are semantic
- Typical pipeline:
  - Parse, then label roles
  - Almost all errors locked in by parser
  - Really, SRL is quite a lot easier than parsing

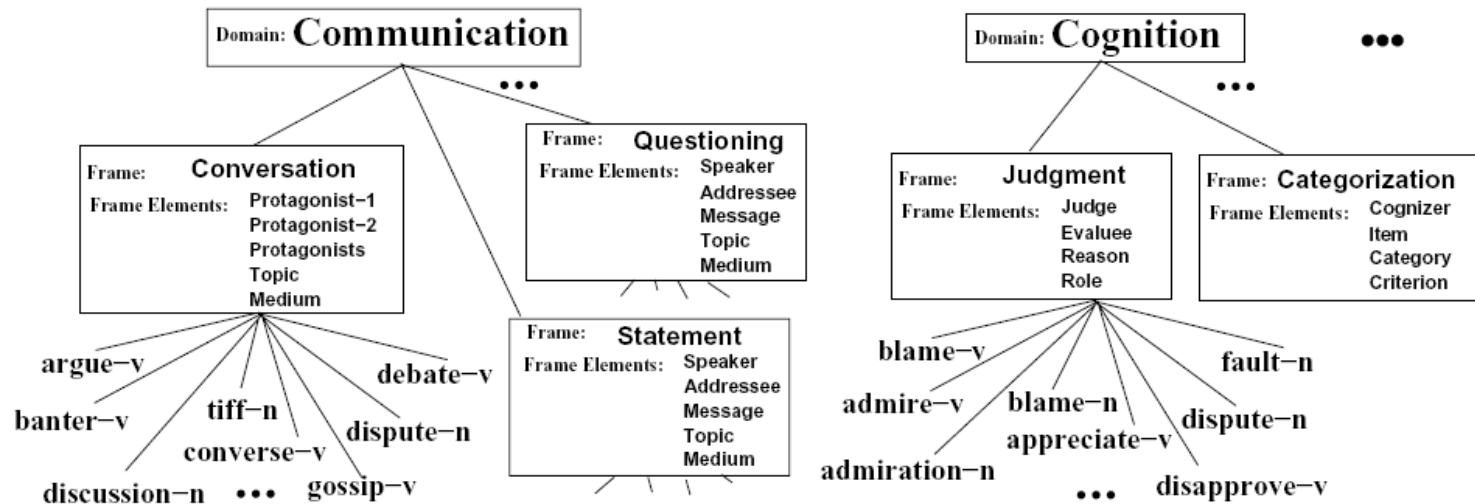


# SRL Example





# PropBank / FrameNet



- FrameNet: roles shared between verbs
- PropBank: each verb has its own roles
- PropBank more used, because it's layered over the treebank (and so has greater coverage, plus parses)
- Note: some linguistic theories postulate fewer roles than FrameNet (e.g. 5-20 total: agent, patient, instrument, etc.)



# PropBank Example

---

**fall.01**            sense: move downward  
          roles:    Arg1:  thing falling  
                    Arg2:  extent, distance fallen  
                    Arg3:  start point  
                    Arg4:  end point

Sales fell to \$251.2 million from \$278.7 million.

arg1:  Sales  
rel:    fell  
arg4:  to \$251.2 million  
arg3:  from \$278.7 million



# PropBank Example

---

**rotate.02**      sense: shift from one thing to another  
roles: Arg0: causer of shift  
         Arg1: thing being changed  
         Arg2: old thing  
         Arg3: new thing

Many of Wednesday's winners were losers yesterday as investors quickly took profits and rotated their buying to other issues, traders said. (wsj\_1723)

arg0: investors  
rel: rotated  
arg1: their buying  
arg3: to other issues



# PropBank Example

---

**aim.01**            sense: intend, plan  
                     roles: Arg0: aimer, planner  
                              Arg1: plan, intent

The Central Council of Church Bell Ringers aims \*trace\* to  
improve relations with vicars. (wsj\_0089)

arg0: The Central Council of Church Bell Ringers  
rel: aims  
arg1: \*trace\* to improve relations with vicars

**aim.02**            sense: point (weapon) at  
                     roles: Arg0: aimer  
                              Arg1: weapon, etc.  
                              Arg2: target

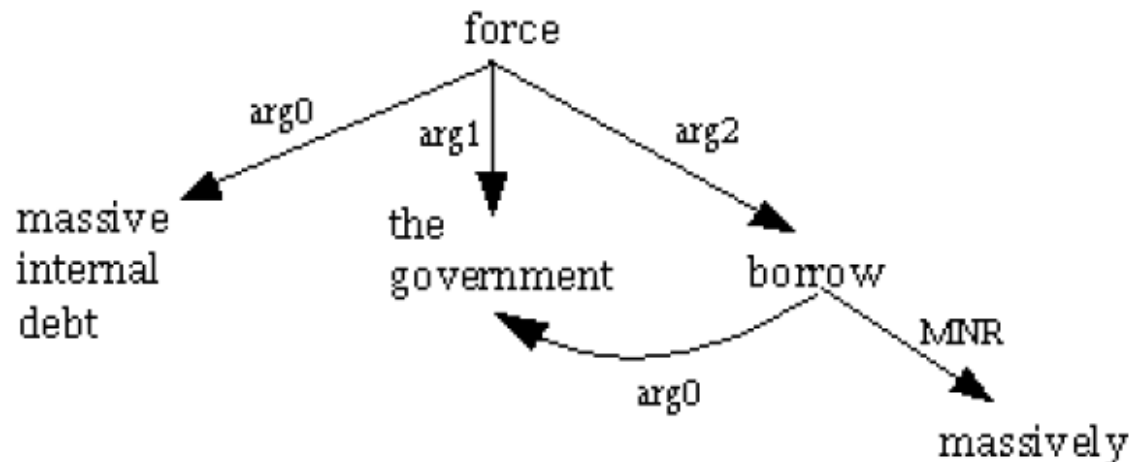
Banks have been aiming packages at the elderly.

arg0: Banks  
rel: aiming  
arg1: packages  
arg2: at the elderly



# Shared Arguments

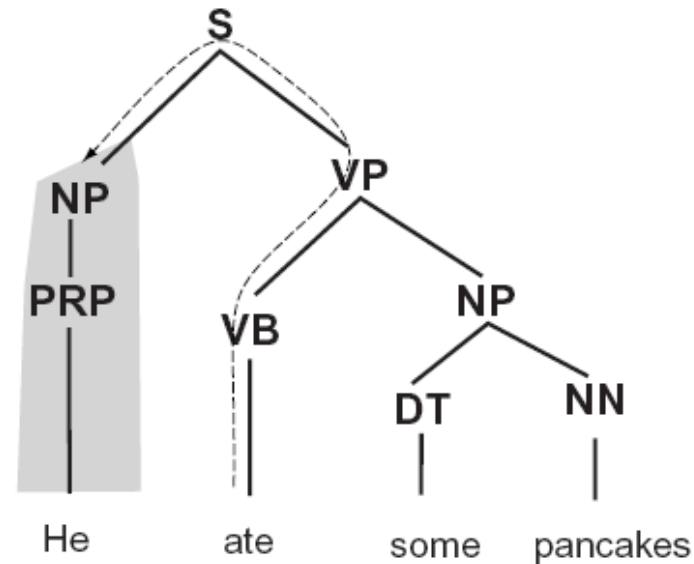
(NP-SBJ (JJ massive) (JJ internal) (NN debt) )  
(VP (VBZ has)  
(VP (VBN forced)  
(S  
(NP-SBJ-1 (DT the) (NN government) )  
(VP  
(VP (TO to)  
(VP (VB borrow)  
(ADVP-MNR (RB massively) )...







# Path Features



<i>Path</i>	<i>Description</i>
VB↑VP↓PP	PP argument/adjunct
VB↑VP↑S↓NP	subject
VB↑VP↓NP	object
VB↑VP↑VP↑S↓NP	subject (embedded VP)
VB↑VP↓ADVP	adverbial adjunct
NN↑NP↑NP↓PP	prepositional complement of noun



# Results

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## ■ Features:

- Path from target to filler
- Filler's syntactic type, headword, case
- Target's identity
- Sentence voice, etc.
- Lots of other second-order features

## ■ Gold vs parsed source trees

- SRL is fairly easy on gold trees
- Harder on automatic parses

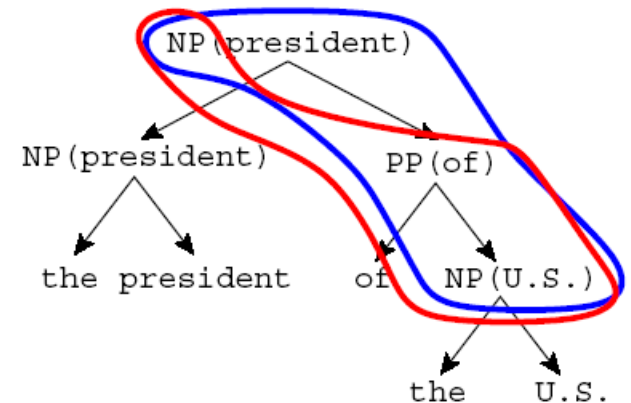
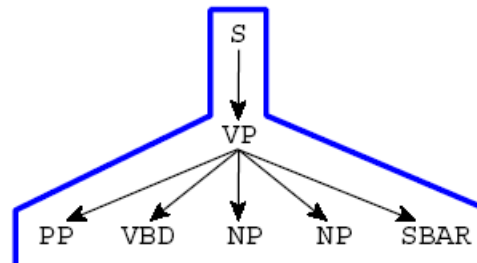
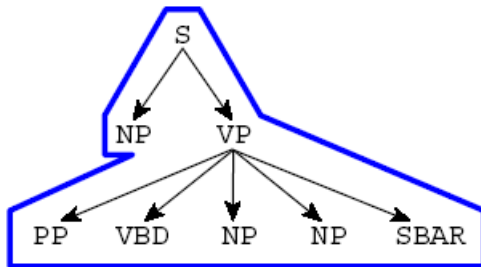
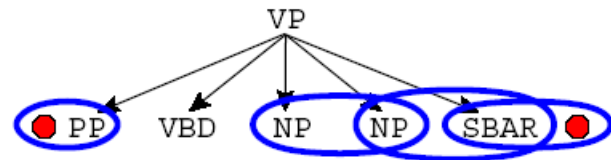
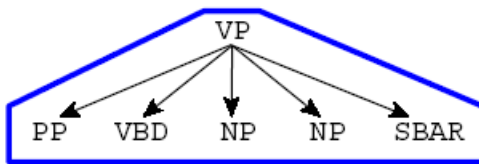
CORE		ARGM	
F1	Acc.	F1	Acc.
92.2	80.7	89.9	71.8

CORE		ARGM	
F1	Acc.	F1	Acc.
84.1	66.5	81.4	55.6



# Parse Reranking

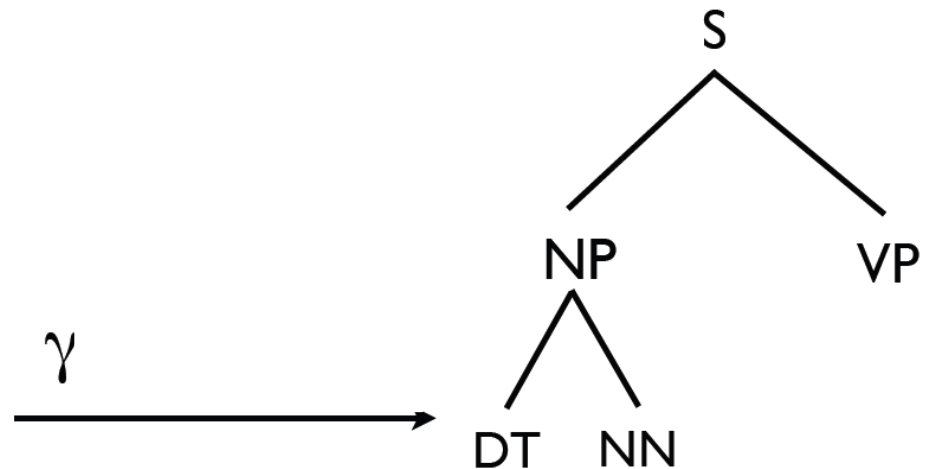
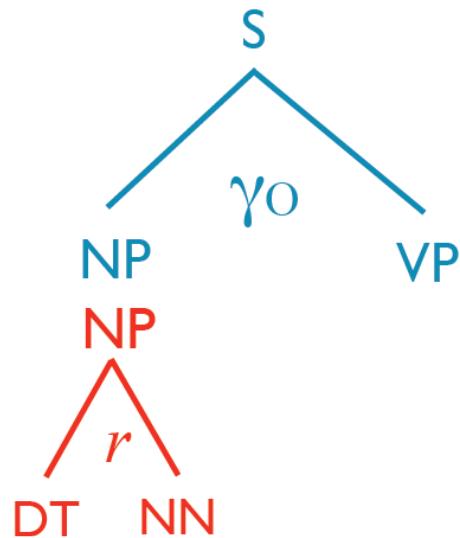
- Assume the number of parses is very small
- We can represent each parse  $T$  as a feature vector  $\phi(T)$ 
  - Typically, all local rules are features
  - Also non-local features, like how right-branching the overall tree is
  - [Charniak and Johnson 05] gives a rich set of features





# K-Best Parsing

[Huang and Chiang 05,  
Pauls, Klein, Quirk 10]



$$\gamma = \gamma_0 + r$$